

The weak formulation of the skeleton equation

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15 August 2024

II. The kinetic formulation of the skeleton equation

The skeleton equation: in the case of the zero range process,

$$\partial_t \rho = \Delta \Phi(\rho) - \nabla \cdot (\Phi^{\frac{1}{2}}(\rho)g) \text{ in } \mathbb{T}^d \times (0, T),$$

for an L^2 -control $g \in (L^2_{t,x})^d$. We specialize to the case, for some $\alpha \in (0, \infty)$,

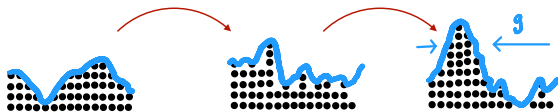
$$\partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^{\frac{\alpha}{2}} g).$$

The kinetic formulation: for $\chi = \mathbf{1}_{\{0 < \xi < \rho\}} - \mathbf{1}_{\{\rho < \xi < 0\}}$,

$$\partial_t \chi = \alpha \xi^{\alpha-1} \Delta \chi + \partial_\xi q - \partial_\xi (\xi^{\frac{\alpha}{2}} g \cdot \nabla \chi) + \nabla \cdot (\xi^{\frac{\alpha}{2}} (\partial_\xi \chi) g),$$

for a locally finite, nonnegative measure q on $\mathbb{T}^d \times \mathbb{R} \times [0, T]$ with

$$q \geq \delta_\rho (\alpha \xi^{\alpha-1} |\nabla \rho|^2).$$



II. The kinetic formulation of the skeleton equation

A renormalized kinetic solution of the skeleton equation

A nonnegative function $\rho \in C([0, T]; L^1(\mathbb{T}^d))$ is a renormalized kinetic solution of the skeleton equation if there exists a nonnegative, locally finite measure q on $\mathbb{T}^d \times \mathbb{R} \times [0, T]$ such that ρ and q satisfy the following four properties.

- *Preservation of mass:* $\|\rho(x, t)\|_{L^1(\mathbb{T}^d)} = \|\rho_0\|_{L^1(\mathbb{T}^d)}$ for every $t \in [0, T]$.
- *Local H^1 -regularity:* $((\rho \wedge K) \vee \frac{1}{K}) \in L^2([0, T]; H^1(\mathbb{T}^d))$ for every $K \in \mathbb{N}$.
- *Regularity and vanishing of the measure at infinity:* we have that

$$\delta_\rho(\alpha \xi^{\alpha-1} |\nabla \rho|^2) \leq q \quad \text{and} \quad \liminf_{M \rightarrow \infty} q(\mathbb{T}^d \times [M, M+1] \times [0, T]) = 0.$$

- *The equation:* for every $\psi \in C_c^\infty(\mathbb{T}^d \times (0, \infty))$ and $t \in [0, T]$,

$$\begin{aligned} \int_{\mathbb{T}^d} \chi \psi \Big|_{s=0}^{s=t} &= - \int_0^t \int_{\mathbb{T}^d} \alpha \rho^{\alpha-1} \nabla \rho \cdot (\nabla \psi)(x, \rho) - \int_0^t \int_{\mathbb{T}^d} \int_{\mathbb{R}} (\partial_\xi \psi)(x, \xi) q \\ &\quad + \int_0^t \int_{\mathbb{T}^d} (\partial_\xi \psi)(x, \rho) \rho^{\frac{\alpha}{2}} g \cdot \nabla \rho + \int_0^t \int_{\mathbb{T}^d} \rho^{\frac{\alpha}{2}} g \cdot (\nabla \psi)(x, \rho). \end{aligned}$$

- we have that $\lim_{\beta \rightarrow 0} (\beta^{-1} q(\mathbb{T}^d \times (\frac{\beta}{2}, \beta) \times [0, T])) = 0$.

II. The kinetic formulation of the skeleton equation

Well-posedness of renormalized kinetic solutions [F., Gess; 2023]

Let $T \in (0, \infty)$, $d \in \mathbb{N}$, and let $\Phi \in C_{\text{loc}}^1((0, \infty)) \cap C([0, \infty))$ satisfy that

- $\Phi(0) = 0$ with $\Phi' > 0$ on $(0, \infty)$,
- Φ' is locally $1/2$ -Hölder continuous on $(0, \infty)$,
- and $\max_{\{0 < \xi \leq M\}} \frac{\Phi(\xi)}{\Phi'(\xi)} \leq cM$.

Then for every nonnegative $\rho_0 \in L^1(\mathbb{T}^d)$ there exists a unique renormalized kinetic solution of the equation

$$\partial_t \rho = \Delta \Phi(\rho) - \nabla \cdot (\Phi^{\frac{1}{2}}(\rho) g) \text{ in } \mathbb{T}^d \times (0, T) \text{ with } \rho(\cdot, 0) = \rho_0.$$

Furthermore, if ρ_1 and ρ_2 are two solutions with initial data $\rho_{1,0}$ and $\rho_{2,0}$, then

$$\max_{t \in [0, T]} \|\rho_1(x, t) - \rho_2(x, t)\|_{L^1(\mathbb{T}^d)} = \|\rho_{1,0} - \rho_{2,0}\|_{L^1(\mathbb{T}^d)}.$$

- including $\Phi(\xi) = \xi^\alpha$ for every $\alpha \in (0, \infty)$, for which

$$\partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^{\frac{\alpha}{2}} g).$$

III. Weak solutions of the skeleton equation

The skeleton equation: $\partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^{\frac{\alpha}{2}} g) = 2 \nabla \cdot (\rho^{\frac{\alpha}{2}} \nabla \rho^{\frac{\alpha}{2}}) - \nabla \cdot (\rho^{\frac{\alpha}{2}} g)$.

Weak solutions of the skeleton equation

A weak solution of the skeleton equation is a nonnegative $\rho \in C([0, T]; L^1(\mathbb{T}^d))$ that satisfies the following two properties.

— *The entropy estimate:* we have that

$$\rho^{\frac{\alpha}{2}} \in L^2([0, T]; H^1(\mathbb{T}^d)).$$

— for every $\psi \in C^\infty(\mathbb{T}^d)$ and $t \in [0, T]$,

$$\int_{\mathbb{T}^d} \rho(x, s) \psi(x) \Big|_{s=0}^{s=t} = -2 \int_0^t \int_{\mathbb{T}^d} \rho^{\frac{\alpha}{2}} \nabla \rho^{\frac{\alpha}{2}} \cdot \nabla \psi + \int_0^t \int_{\mathbb{T}^d} \rho^{\frac{\alpha}{2}} g \cdot \nabla \psi.$$

The entropy estimate: we have that

$$\max_{t \in [0, T]} \int_{\mathbb{T}^d} \rho \log(\rho) + \int_0^T \int_{\mathbb{T}^d} |\nabla \rho^{\frac{\alpha}{2}}|^2 \lesssim \int_{\mathbb{T}^d} \rho_0 \log(\rho_0) + \int_0^T \int_{\mathbb{T}^d} |g|^2.$$

An interpolation inequality: we have that

$$\left\| \rho^{\frac{\alpha}{2}} \right\|_{L_t^2 L_x^2} \lesssim \|\rho_0\|_{L_x^1}^\alpha + \left\| \nabla \rho^{\frac{\alpha}{2}} \right\|_{L_t^2 L_x^2}.$$

III. Weak solutions of the skeleton equation

The kinetic formulation: for every $\psi \in C^\infty(\mathbb{T}^d)$ and $t \in [0, T]$,

$$\begin{aligned} \int_{\mathbb{T}^d} \chi \psi \Big|_{s=0}^{s=t} &= - \int_0^t \int_{\mathbb{T}^d} \alpha \rho^{\alpha-1} \nabla \rho \cdot (\nabla \psi)(x, \rho) - \int_0^t \int_{\mathbb{T}^d} \int_{\mathbb{R}} (\partial_\xi \psi)(x, \xi) q \\ &\quad + \int_0^t \int_{\mathbb{T}^d} (\partial_\xi \psi)(x, \rho) \rho^{\frac{\alpha}{2}} g \cdot \nabla \rho + \int_0^t \int_{\mathbb{T}^d} \rho^{\frac{\alpha}{2}} g \cdot (\nabla \psi)(x, \rho). \end{aligned}$$

The weak formulation: for every $\psi \in C^\infty(\mathbb{T}^d)$ and $t \in [0, T]$,

$$\int_{\mathbb{T}^d} \rho(x, s) \psi(x) \Big|_{s=0}^{s=t} = -2 \int_0^t \int_{\mathbb{T}^d} \rho^{\frac{\alpha}{2}} \nabla \rho^{\frac{\alpha}{2}} \cdot \nabla \psi + \int_0^t \int_{\mathbb{T}^d} \rho^{\frac{\alpha}{2}} g \cdot \nabla \psi.$$

Weak-strong continuity: does a weakly convergent sequence

$$g_n \rightharpoonup g \in (L_{t,x}^2)^d,$$

induce a strongly convergent sequence of solutions

$$\rho_n \rightarrow \rho \in L_{t,x}^1?$$

— weak convergence implies that the g_n are uniformly bounded in $(L_{t,x}^2)^d$

III. Weak solutions of the skeleton equation

The entropy estimate: we have that

$$\max_{t \in [0, T]} \int_{\mathbb{T}^d} \rho \log(\rho) + \int_0^T \int_{\mathbb{T}^d} |\nabla \rho^{\frac{\alpha}{2}}|^2 \lesssim \int_{\mathbb{T}^d} \rho_0 \log(\rho_0) + \int_0^T \int_{\mathbb{T}^d} |g|^2.$$

Spatial regularity: if $\alpha \in [1, 2]$ then

$$\nabla \rho = \frac{2}{\alpha} (\rho^{1-\frac{\alpha}{2}}) \nabla \rho^{\frac{\alpha}{2}} \in (L_{t,x}^{\frac{2}{3-\alpha}})^d.$$

If $\alpha \in [2, \infty)$ then

$$|\rho(x) - \rho(y)|^\alpha \leq |\rho(x)^{\frac{\alpha}{2}} - \rho(y)^{\frac{\alpha}{2}}|^2 \text{ so that } \rho \in W^{\frac{\alpha}{2}, 1}(\mathbb{T}^d).$$

L^1 -integrability of the products: we have that

$$\rho^{\frac{\alpha}{2}} \nabla \rho^{\frac{\alpha}{2}} \in (L_{t,x}^1)^d \text{ and } \rho^{\frac{\alpha}{2}} g \in (L_{t,x}^1)^d.$$

Regularity in time: for $s > d/2$ we have that

$$\partial_t \rho = 2 \nabla \cdot (\rho^{\frac{\alpha}{2}} \nabla \rho^{\frac{\alpha}{2}}) - \nabla \cdot (\rho^{\frac{\alpha}{2}} g) \in H^{-s}(\mathbb{T}^d).$$

— Aubin–Lions–Simon Lemma for strong $(L_{t,x}^1)^d$ -compactness:

$$W^{\frac{2}{\alpha}, 1}(\mathbb{T}^d), W^{1,p}(\mathbb{T}^d) \subset\subset L^1(\mathbb{T}^d) \subseteq H^{-s}(\mathbb{T}^d).$$

III. Weak solutions of the skeleton equation

Equivalence of weak and kinetic solutions: for initial data with finite entropy, when is a weak solution

$$\int_{\mathbb{T}^d} \rho(x, s) \psi(x) \Big|_{s=0}^{s=t} = -2 \int_0^t \int_{\mathbb{T}^d} \rho^{\frac{\alpha}{2}} \nabla \rho^{\frac{\alpha}{2}} \cdot \nabla \psi + \int_0^t \int_{\mathbb{T}^d} \rho^{\frac{\alpha}{2}} g \cdot \nabla \psi,$$

a kinetic solution

$$\begin{aligned} \int_{\mathbb{T}^d} \chi \psi \Big|_{s=0}^{s=t} &= - \int_0^t \int_{\mathbb{T}^d} \alpha \rho^{\alpha-1} \nabla \rho \cdot (\nabla \psi)(x, \rho) - \int_0^t \int_{\mathbb{T}^d} \int_{\mathbb{R}} (\partial_\xi \psi)(x, \xi) q \\ &\quad + \int_0^t \int_{\mathbb{T}^d} (\partial_\xi \psi)(x, \rho) \rho^{\frac{\alpha}{2}} g \cdot \nabla \rho + \int_0^T \int_{\mathbb{T}^d} \rho^{\frac{\alpha}{2}} g \cdot (\nabla \psi)(x, \rho)? \end{aligned}$$

Deriving the kinetic form: for $\partial_\xi \Psi(x, \xi) = \psi(x, \xi)$, for $\rho_\varepsilon = (\rho * \kappa^\varepsilon)$,

$$\begin{aligned} \partial_t \int \Psi(x, \rho_\varepsilon) &= \int \psi(x, \rho_\varepsilon) \partial_t \rho_\varepsilon \\ &= -2 \int (\nabla \psi)(x, \rho_\varepsilon) \cdot (\rho^{\frac{\alpha}{2}} \nabla \rho^{\frac{\alpha}{2}})^\varepsilon - \int (\nabla \psi)(x, \rho_\varepsilon) \cdot (\rho^{\frac{\alpha}{2}} g)^\varepsilon \\ &\quad - 2 \int_{\mathbb{T}^d} (\partial_\xi \psi)(x, \rho_\varepsilon) \nabla \rho_\varepsilon \cdot (\rho^{\frac{\alpha}{2}} \nabla \rho^{\frac{\alpha}{2}})^\varepsilon - \int (\partial_\xi \psi)(x, \rho_\varepsilon) \nabla \rho_\varepsilon \cdot (\rho^{\frac{\alpha}{2}} g)^\varepsilon. \end{aligned}$$

III. Weak solutions of the skeleton equation

DiPerna–Lions theory [DiPerna, Lions; 1989], [Ambrosio; 2004]

Let $b: \mathbb{T}^d \times [0, T] \rightarrow \mathbb{R}^d$ satisfy

$$\int_0^T \|(\nabla \cdot b)\|_{L_x^\infty} + \|b\|_{BV_x} < \infty.$$

Then, for every $\rho_0 \in L^\infty(\mathbb{T}^d)$ the continuity equation

$$\partial_t \rho = \nabla \cdot (\rho b),$$

has a unique solution in $(L^1 \cap L^\infty)(\mathbb{T}^d \times [0, T])$.

- a lower bound on $\nabla \cdot b$ is sufficient [Ambrosio; 2004]
- almost optimal conditions [Depauw; 2003]
- the skeleton equation

$$\partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^{\frac{\alpha}{2}} g).$$

III. Weak solutions of the skeleton equation

The equation satisfied by the convolution: for $\rho = (\rho * \kappa^\varepsilon)$,

$$\partial_t \rho_\varepsilon = -(2\rho^{\frac{\alpha}{2}} \nabla \rho^{\frac{\alpha}{2}} * \nabla \kappa^\varepsilon) + (\rho^{\frac{\alpha}{2}} g * \nabla \kappa^\varepsilon).$$

Deriving the kinetic form: if ρ is a weak solution, for $\partial_\xi \Psi(x, \xi) = \psi(x, \xi)$,

$$\begin{aligned} \partial_t \int_{\mathbb{T}^d} \Psi(x, \rho_\varepsilon) &= \int_{\mathbb{T}^d} \psi(x, \rho_\varepsilon) \partial_t \rho_\varepsilon \\ &= -2 \int_{\mathbb{T}^d} \psi(x, \rho_\varepsilon) (\rho^{\frac{\alpha}{2}} \nabla \rho^{\frac{\alpha}{2}} * \nabla \kappa^\varepsilon) + \int_{\mathbb{T}^d} \psi(x, \rho_\varepsilon) (\rho^{\frac{\alpha}{2}} g * \nabla \kappa^\varepsilon). \end{aligned}$$

A useful decomposition: let $\text{Supp}(\psi) \subseteq \mathbb{T}^d \times [0, M]$ and let

$$A_1 = \{(x, t) : \rho^{\frac{\alpha}{2}}(x, t) \geq M^{\frac{\alpha}{2}} + 1\} \text{ and let } A_0 = (\mathbb{T}^d \times [0, T]) \setminus A_1.$$

We then write, for both terms,

$$\begin{aligned} \int_{\mathbb{T}^d} \psi(x, \rho_\varepsilon) (\rho^{\frac{\alpha}{2}} g * \nabla \kappa^\varepsilon) &= \int_{\mathbb{T}^d} \psi(x, \rho_\varepsilon) (\mathbf{1}_{A_0} \rho^{\frac{\alpha}{2}} g * \nabla \kappa^\varepsilon) \\ &\quad + \int_{\mathbb{T}^d} \psi(x, \rho_\varepsilon) (\mathbf{1}_{A_1} \rho^{\frac{\alpha}{2}} g * \nabla \kappa^\varepsilon). \end{aligned}$$

III. Weak solutions of the skeleton equation

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We then write

$$\int_{\mathbb{T}^d} \psi(x, \rho_\varepsilon) (\rho^{\frac{\alpha}{2}} g * \nabla \kappa^\varepsilon) = \int_{\mathbb{T}^d} \psi(x, \rho_\varepsilon) (\mathbf{1}_{A_0} \rho^{\frac{\alpha}{2}} g * \nabla \kappa^\varepsilon) + \int_{\mathbb{T}^d} \psi(x, \rho_\varepsilon) (\mathbf{1}_{A_1} \rho^{\frac{\alpha}{2}} g * \nabla \kappa^\varepsilon).$$

The “good” term defined by A_0 : after integrating by parts,

$$\begin{aligned} \int_{\mathbb{T}^d} \psi(x, \rho_\varepsilon) (\mathbf{1}_{A_0} \rho^{\frac{\alpha}{2}} g * \nabla \kappa^\varepsilon) &= \int_{\mathbb{T}^d} (\partial_\xi \psi)(x, \rho_\varepsilon) (\mathbf{1}_{A_0} \rho^{\frac{\alpha}{2}} g * \kappa^\varepsilon) \cdot \nabla \rho_\varepsilon \\ &\quad + \int_{\mathbb{T}^d} (\nabla \psi)(x, \rho_\varepsilon) \cdot (\mathbf{1}_{A_0} \rho^{\frac{\alpha}{2}} g * \kappa^\varepsilon). \end{aligned}$$

After passing $\varepsilon \rightarrow 0$, the strong $L^2_{t,x}$ -convergence proves that

$$\begin{aligned} &\lim_{\varepsilon \rightarrow 0} \int_{\mathbb{T}^d} \psi(x, \rho_\varepsilon) (\mathbf{1}_{A_0} \rho^{\frac{\alpha}{2}} g * \nabla \kappa^\varepsilon) \\ &= \int_{\mathbb{T}^d} (\partial_\xi \psi)(x, \rho) (\mathbf{1}_{A_0} \rho^{\frac{\alpha}{2}} g) \cdot \nabla \rho + \int_{\mathbb{T}^d} \mathbf{1}_{A_0} \rho^{\frac{\alpha}{2}} g \cdot (\nabla \psi)(x, \rho) \\ &= \int_{\mathbb{T}^d} (\partial_\xi \psi)(x, \rho) \rho^{\frac{\alpha}{2}} g \cdot \nabla \rho + \int_{\mathbb{T}^d} \rho^{\frac{\alpha}{2}} g \cdot (\nabla \psi)(x, \rho). \end{aligned}$$

III. Weak solutions of the skeleton equation

A useful decomposition: let $\text{Supp}(\psi) \subseteq \mathbb{T}^d \times [0, M]$ and let

$$A_1 = \{(x, t) : \rho^{\frac{\alpha}{2}}(x, t) \geq M^{\frac{\alpha}{2}} + 1\} \text{ and let } A_0 = (\mathbb{T}^d \times [0, T]) \setminus A_1.$$

We then write

$$\int_{\mathbb{T}^d} \psi(x, \rho_\varepsilon) (\rho^{\frac{\alpha}{2}} g * \nabla \kappa^\varepsilon) = \int_{\mathbb{T}^d} \psi(x, \rho_\varepsilon) (\mathbf{1}_{A_0} \rho^{\frac{\alpha}{2}} g * \nabla \kappa^\varepsilon) + \int_{\mathbb{T}^d} \psi(x, \rho_\varepsilon) (\mathbf{1}_{A_1} \rho^{\frac{\alpha}{2}} g * \nabla \kappa^\varepsilon).$$

The “bad” term defined by A_1 : in this case, using Hölder’s inequality,

$$\begin{aligned} & \left| \int_{\mathbb{T}^d} \psi(x, \rho_\varepsilon) (\mathbf{1}_{A_1} \rho^{\frac{\alpha}{2}} g * \nabla \kappa^\varepsilon) \right| \\ & \leq \varepsilon^{-1} \int_{\mathbb{T}^d} |\psi(x, \rho_\varepsilon)| (\mathbf{1}_{A_1} |g|^2 * |\varepsilon \nabla \kappa^\varepsilon|)^{\frac{1}{2}} (\mathbf{1}_{A_1} \rho^\alpha * |\varepsilon \nabla \kappa^\varepsilon|)^{\frac{1}{2}} \\ & \lesssim \left(\int_{\mathbb{T}^d} |\psi(x, \rho_\varepsilon)| (\mathbf{1}_{A_1} |g|^2 * |\varepsilon \nabla \kappa^\varepsilon|) \right)^{\frac{1}{2}} \cdot \varepsilon^{-1} \left(\int_{\mathbb{T}^d} |\psi(x, \rho_\varepsilon)| (\mathbf{1}_{A_1} \rho^\alpha * |\varepsilon \nabla \kappa^\varepsilon|) \right)^{\frac{1}{2}}. \end{aligned}$$

We first observe that

$$\lim_{\varepsilon \rightarrow 0} \left(\int_{\mathbb{T}^d} |\psi(x, \rho_\varepsilon)| (\mathbf{1}_{A_1} |g| * |\varepsilon \nabla \kappa^\varepsilon|)^2 \right)^{\frac{1}{2}} \simeq \left(\int_{\mathbb{T}^d} \psi(x, \rho) \mathbf{1}_{A_1} |g|^2 \right)^{\frac{1}{2}} = 0.$$

III. Weak solutions of the skeleton equation

A still useful decomposition: for $A_1 = \{(x, t) : \rho^{\frac{\alpha}{2}}(x, t) \geq M^{\frac{\alpha}{2}} + 1\}$ we need that

$$\limsup_{\varepsilon \rightarrow 0} \varepsilon^{-1} \left(\int_{\mathbb{T}^d} |\psi(x, \rho_\varepsilon)| (\mathbf{1}_{A_1} \rho^\alpha * |\varepsilon \nabla \kappa^\varepsilon|) \right)^{\frac{1}{2}} < \infty.$$

We first write

$$\int_{\mathbb{T}^d} |\psi(y, \rho_\varepsilon)| (\mathbf{1}_{A_1} \rho^\alpha * |\varepsilon \nabla \kappa^\varepsilon|) = \int_{(\mathbb{T}^d)^2} |\psi(y+x, \rho_\varepsilon(y+x))| \mathbf{1}_{A_1}(x) \rho^\alpha(x) |\varepsilon \nabla \kappa^\varepsilon(y)| dx dy.$$

Then, for $A_k = \{(x, t) : \rho^{\frac{\alpha}{2}}(x, t) \geq M^{\frac{\alpha}{2}} + k\}$ and $\mathbf{1}_k = \mathbf{1}_{A_k \setminus A_{k+1}}$,

$$\begin{aligned} & \int_{\mathbb{T}^d} |\psi(y, \rho_\varepsilon)| (\mathbf{1}_{A_1} \rho^\alpha * |\varepsilon \nabla \kappa^\varepsilon|) dy \\ &= \sum_{k=1}^{\infty} \int_{(\mathbb{T}^d)^2} |\psi(y+x, \rho_\varepsilon(y+x))| \mathbf{1}_k(x) \rho^\alpha(x) |\varepsilon \nabla \kappa^\varepsilon(y)| dx dy \\ &\lesssim \sum_{k=1}^{\infty} \int_{(\mathbb{T}^d)^2} |\psi(y+x, \rho_\varepsilon(y+x))| (M^{\frac{\alpha}{2}} + k + 1)^2 \mathbf{1}_k(x) |\varepsilon \nabla \kappa^\varepsilon(y)| dx dy. \end{aligned}$$

III. Weak solutions of the skeleton equation

A decomposition: for $A_k = \{(x, t) : \rho^{\frac{\alpha}{2}}(x, t) \geq M^{\frac{\alpha}{2}} + k\}$ and $\mathbf{1}_k = \mathbf{1}_{A_k \setminus A_{k+1}}$,

$$\begin{aligned} & \int_{\mathbb{T}^d} |\psi(y, \rho_\varepsilon)| (\mathbf{1}_{A_1} \rho^\alpha * |\varepsilon \nabla \kappa^\varepsilon|) dy \\ & \leq \sum_{k=1}^{\infty} \int_{(\mathbb{T}^d)^2} |\psi(y+x, \rho_\varepsilon(y+x))| (M^{\frac{\alpha}{2}} + k + 1)^2 \mathbf{1}_k(x) |\varepsilon \nabla \kappa^\varepsilon(y)| dx dy. \end{aligned}$$

If $B_{k,y}^\varepsilon = \{(x, t) : |(\rho^{\frac{\alpha}{2}} * \kappa^\varepsilon)(y+x) - \rho^{\frac{\alpha}{2}}(x)| \mathbf{1}_k(x) \geq k\}$ then

$$\begin{aligned} |B_{k,x}^\varepsilon| & \leq \frac{1}{k^2} \int_{\mathbb{T}^d} |(\rho^{\frac{\alpha}{2}} * \kappa^\varepsilon)(y+x) - \rho^{\frac{\alpha}{2}}(x)|^2 \mathbf{1}_k(x) dx \\ & = \frac{1}{k^2} \int_{\mathbb{T}^d} \left| \int_{\mathbb{T}^d} (\rho^{\frac{\alpha}{2}}(y+x+z) - \rho^{\frac{\alpha}{2}}(x)) \kappa^\varepsilon(z) dz \right|^2 \mathbf{1}_k(x) dx \\ & \leq \frac{1}{k^2} \int_{\mathbb{T}^d} \left(\int_{\mathbb{T}^d} |\rho^{\frac{\alpha}{2}}(y+x+z) - \rho^{\frac{\alpha}{2}}(x)|^2 \kappa^\varepsilon(z) dz \right) \mathbf{1}_k(x) dx \\ & = \frac{1}{k^2} \int_{\mathbb{T}^d} \left(\int_{\mathbb{T}^d} \left| \int_0^1 \nabla \rho^{\frac{\alpha}{2}}(x+s(y+z)) \cdot (y+z) ds \right|^2 \kappa^\varepsilon(z) dz \right) \mathbf{1}_k(x) dx \\ & \lesssim \frac{|y|^2 + \varepsilon^2}{k^2} \int_{(\mathbb{T}^d)^2} \int_0^1 |\nabla \rho^{\frac{\alpha}{2}}(x+s(y+z))|^2 \kappa^\varepsilon(z) \mathbf{1}_k(x) ds dz dx. \end{aligned}$$

III. Weak solutions of the skeleton equation

A decomposition: for $A_k = \{(x, t) : \rho^{\frac{\alpha}{2}}(x, t) \geq M + k\}$ and $\mathbf{1}_k = \mathbf{1}_{A_k \setminus A_{k+1}}$,

$$\begin{aligned} & \int_{\mathbb{T}^d} |\psi(y, \rho_\varepsilon)| (\mathbf{1}_{A_1} \rho^\alpha * |\varepsilon \nabla \kappa^\varepsilon|) dy \\ & \leq \sum_{k=1}^{\infty} \int_{(\mathbb{T}^d)^2} |\psi(y+x, \rho_\varepsilon(y+x))| (M^{\frac{\alpha}{2}} + k + 1)^2 \mathbf{1}_k(x) |\varepsilon \nabla \kappa^\varepsilon(y)| dx dy. \end{aligned}$$

If $B_{k,y}^\varepsilon = \{(x, t) : |(\rho^{\frac{\alpha}{2}} * \kappa^\varepsilon)(x+y) - \rho^{\frac{\alpha}{2}}(x)| \mathbf{1}_k(x) \geq k\}$ then

$$|B_{k,x}^\varepsilon| \lesssim \frac{|y|^2 + \varepsilon^2}{k^2} \int_{(\mathbb{T}^d)^2} \int_0^1 |\nabla \rho^{\frac{\alpha}{2}}(x + s(y+z))|^2 \kappa^\varepsilon(z) \mathbf{1}_k(x) ds dz dx.$$

Since, if $\alpha \in [1, 2]$,

$$\rho^{\frac{\alpha}{2}}(x) - (\rho^{\frac{\alpha}{2}} * \kappa^\varepsilon)(x+y) \geq \rho^{\frac{\alpha}{2}}(x) - (\rho * \kappa^\varepsilon)^{\frac{\alpha}{2}}(y+x) \geq \rho^{\frac{\alpha}{2}}(x) - M^{\frac{\alpha}{2}},$$

for every $(y, t) \in \mathbb{T}^d \times [0, T]$,

$$\text{Supp}(\psi(y + \cdot, \rho_\varepsilon(y + \cdot)) \mathbf{1}_k(\cdot)) \subseteq B_{k,y}^\varepsilon,$$

and we have using the boundedness of ψ that

$$\int_{\mathbb{T}^d} |\psi(y, \rho_\varepsilon)| (\mathbf{1}_{A_1} \rho^\alpha * |\varepsilon \nabla \kappa^\varepsilon|) \lesssim \sum_{k=1}^{\infty} \int_{\mathbb{T}^d} |B_{k,y}^\varepsilon| (M^{\frac{\alpha}{2}} + k + 1)^2 |\varepsilon \nabla \kappa^\varepsilon(y)| dy.$$

III. Weak solutions of the skeleton equation

A decomposition: for $B_{k,y}^\varepsilon = \{(x, t) : |(\rho^{\frac{\alpha}{2}} * \kappa^\varepsilon)(x + y) - \rho^{\frac{\alpha}{2}}(x)| \mathbf{1}_k(x) \geq k\}$,

$$|B_{k,x}^\varepsilon| \lesssim \frac{|y|^2 + \varepsilon^2}{k^2} \int_{(\mathbb{T}^d)^2} \int_0^1 |\nabla \rho^{\frac{\alpha}{2}}(x + s(y + z))|^2 \kappa^\varepsilon(z) \mathbf{1}_k(x) \, ds \, dz \, dx,$$

we have that

$$\begin{aligned} & \int_{\mathbb{T}^d} |\psi(y, \rho_\varepsilon)| (\mathbf{1}_{A_1} \rho^\alpha * |\varepsilon \nabla \kappa^\varepsilon|) \, dy \\ & \lesssim \sum_{k=1}^{\infty} \int_{\mathbb{T}^d} |B_{k,y}^\varepsilon| (M^{\frac{\alpha}{2}} + k + 1)^2 |\varepsilon \nabla \kappa^\varepsilon(y)| \, dy \\ & \lesssim \sum_{k=1}^{\infty} \int_{(\mathbb{T}^d)^3} \int_0^1 \left(\frac{|y|^2 + \varepsilon^2}{k^2} \right) (M^{\frac{\alpha}{2}} + k + 1)^2 |\varepsilon \nabla \kappa^\varepsilon(y)| |\nabla \rho^{\frac{\alpha}{2}}(x + s(y + z))|^2 \kappa^\varepsilon(z) \mathbf{1}_k(x) \\ & \lesssim \int_{(\mathbb{T}^d)^3} \int_0^1 (|y|^2 + \varepsilon^2) |\varepsilon \nabla \kappa^\varepsilon(y)| |\nabla \rho^{\frac{\alpha}{2}}(x + s(y + z))|^2 \kappa^\varepsilon(z) \mathbf{1}_{A_1}(x) \\ & \lesssim \varepsilon^2 \int_{(\mathbb{T}^d)^3} \int_0^1 |\varepsilon \nabla \kappa^\varepsilon(y)| |\nabla \rho^{\frac{\alpha}{2}}(x + s(y + z))|^2 \kappa^\varepsilon(z) \mathbf{1}_{A_1}(x) \\ & \lesssim \varepsilon^2 \int_{\mathbb{T}^d} |\nabla \rho^{\frac{\alpha}{2}}|^2. \end{aligned}$$

III. Weak solutions of the skeleton equation

The conclusion: we recall that for $A_1 = \{(x, t) : \rho(x, t) \geq M + 1\}$,

$$\begin{aligned} & \left| \int_{\mathbb{T}^d} \psi(x, \rho_\varepsilon) (\mathbf{1}_{A_1} \rho^{\frac{\alpha}{2}} g * \nabla \kappa^\varepsilon) \right| \\ & \lesssim \left(\int_{\mathbb{T}^d} |\psi(x, \rho_\varepsilon)| (\mathbf{1}_{A_1} |g|^2 * |\varepsilon \nabla \kappa^\varepsilon|) \right)^{\frac{1}{2}} \cdot \varepsilon^{-1} \left(\int_{\mathbb{T}^d} |\psi(x, \rho_\varepsilon)| (\mathbf{1}_{A_1} \rho^\alpha * |\varepsilon \nabla \kappa^\varepsilon|) \right)^{\frac{1}{2}}. \end{aligned}$$

Since we have shown that $\int_{\mathbb{T}^d} |\psi(x, \rho_\varepsilon)| (\mathbf{1}_{A_1} \rho^\alpha * |\varepsilon \nabla \kappa^\varepsilon|) \lesssim \varepsilon^2 \int_{\mathbb{T}^d} |\nabla \rho^{\frac{\alpha}{2}}|^2$,

$$\lim_{\varepsilon \rightarrow 0} \left| \int_{\mathbb{T}^d} \psi(x, \rho_\varepsilon) (\mathbf{1}_{A_1} \rho^{\frac{\alpha}{2}} g * \nabla \kappa^\varepsilon) \right| = 0.$$

Therefore, since

$$\int_{\mathbb{T}^d} \psi(x, \rho_\varepsilon) (\rho^{\frac{\alpha}{2}} g * \nabla \kappa^\varepsilon) = \int_{\mathbb{T}^d} \psi(x, \rho_\varepsilon) (\mathbf{1}_{A_0} \rho^{\frac{\alpha}{2}} g * \nabla \kappa^\varepsilon) + \int_{\mathbb{T}^d} \psi(x, \rho_\varepsilon) (\mathbf{1}_{A_1} \rho^{\frac{\alpha}{2}} g * \nabla \kappa^\varepsilon),$$

we have that

$$\lim_{\varepsilon \rightarrow 0} \int_{\mathbb{T}^d} \psi(x, \rho_\varepsilon) (\rho^{\frac{\alpha}{2}} g * \nabla \kappa^\varepsilon) = \int_{\mathbb{T}^d} (\partial_\xi \psi)(x, \rho) \rho^{\frac{\alpha}{2}} g \cdot \nabla \rho + \int_{\mathbb{T}^d} \rho^{\frac{\alpha}{2}} g \cdot (\nabla \psi)(x, \rho).$$

III. Weak solutions of the skeleton equation

Recovering the kinetic form: if ρ is weak solution, for $\rho_\varepsilon = (\rho * \kappa^\varepsilon)$,

$$\begin{aligned} \partial_t \int_{\mathbb{T}^d} \Psi(x, \rho_\varepsilon) &= \int_{\mathbb{T}^d} \psi(x, \rho_\varepsilon) \partial_t \rho_\varepsilon \\ &= -2 \int_{\mathbb{T}^d} \psi(x, \rho_\varepsilon) (\rho^{\frac{\alpha}{2}} \nabla \rho^{\frac{\alpha}{2}} * \nabla \kappa^\varepsilon) + \int_{\mathbb{T}^d} \psi(x, \rho_\varepsilon) (\rho^{\frac{\alpha}{2}} g * \nabla \kappa^\varepsilon) \end{aligned}$$

and, after passing to the limit $\varepsilon \rightarrow 0$,

$$\begin{aligned} \partial_t \int_{\mathbb{T}^d} \Psi(x, \rho) &= - \int_{\mathbb{T}^d} (\partial_\xi \psi)(x, \rho) \alpha \rho^{\alpha-1} |\nabla \rho|^2 - \int_{\mathbb{T}^d} \alpha \rho^{\alpha-1} (\nabla \psi)(x, \rho) \cdot \nabla \rho \\ &\quad + \int_{\mathbb{T}^d} (\partial_\xi \psi)(x, \rho) \rho^{\frac{\alpha}{2}} g \cdot \nabla \rho + \int_{\mathbb{T}^d} \rho^{\frac{\alpha}{2}} g \cdot (\nabla \psi)(x, \rho). \end{aligned}$$

The kinetic equation: for $q = \delta_\rho (\alpha \xi^{\alpha-1} |\nabla \rho|^2)$,

$$\begin{aligned} \partial_t \int_{\mathbb{T}^d} \int_{\mathbb{R}} \psi(x, \rho) \chi &= - \int_{\mathbb{T}^d} \int_{\mathbb{R}} (\partial_\xi \psi)(x, \xi) q - \int_{\mathbb{T}^d} \int_{\mathbb{R}} \alpha \xi^{\alpha-1} (\nabla \psi)(x, \xi) \cdot \nabla \chi \\ &\quad + \int_{\mathbb{T}^d} \int_{\mathbb{R}} (\partial_\xi \psi)(x, \xi) \xi^{\frac{\alpha}{2}} g \cdot \nabla \chi - \int_{\mathbb{T}^d} \int_{\mathbb{R}} \xi^{\frac{\alpha}{2}} (\partial_\xi \chi) g \cdot (\nabla \psi)(x, \xi), \end{aligned}$$

and

$$\partial_t \chi = \nabla \cdot (\alpha \xi^{\alpha-1} \nabla \chi) + \partial_\xi q - \partial_\xi (\xi^{\frac{\alpha}{2}} g \cdot \nabla \chi) + \nabla \cdot (\xi^{\frac{\alpha}{2}} (\partial_\xi \chi) g).$$

III. Weak solutions of the skeleton equation

Equivalence of weak and renormalized kinetic solutions [F., Gess; 2023]

Under assumptions including $\Phi(\xi) = \xi^m$ for every $m \in [1, \infty)$, a nonnegative function $\rho \in C([0, T]; L^1(\mathbb{T}^d))$ that satisfies

$$\Phi^{\frac{1}{2}}(\rho) \in L^2([0, T]; H^1(\mathbb{T}^d))$$

is a renormalized kinetic solution of the skeleton equation

$$\partial_t \rho = \Delta \Phi(\rho) - \nabla \cdot (\Phi^{\frac{1}{2}}(\rho)g) \text{ in } \mathbb{T}^d \times (0, T) \text{ with } \rho(\cdot, 0) = \rho_0,$$

for a nonnegative ρ_0 with finite entropy if and only if ρ is a weak solution. In particular, weak solutions exist and are unique.

- equivalence of renormalized and weak solutions [DiPerna, Lions; 1989], [Ambrosio; 2004].
- strong continuity with respect to weak convergence of the control
- for example, $\Phi^{\frac{1}{2}}$ convex or concave or Φ satisfies that $0 < \lambda \leq \Phi' \leq \Lambda$.

III. Weak solutions of the skeleton equation

Weak-strong continuity [F., Gess; 2023]

If ρ_n are solutions of the skeleton equation with controls $g_n \rightarrow g$ and initial data $\rho_{0,n} \rightarrow \rho_0$ with uniformly bounded entropy, then $\rho_n \rightarrow \rho$ for ρ the solution of the skeleton equation with control g and initial data ρ_0 .

The entropy estimate: if $\partial_t \rho_n = \Delta \rho_n^\alpha - \nabla \cdot (\rho_n^{\frac{\alpha}{2}} g_n)$ then

$$\max_{t \in [0, T]} \int_{\mathbb{T}^d} \rho_n \log(\rho_n) + \int_0^T \int_{\mathbb{T}^d} |\nabla \rho_n^{\frac{\alpha}{2}}|^2 \lesssim \int_{\mathbb{T}^d} \rho_{0,n} \log(\rho_{0,n}) + \int_0^T \int_{\mathbb{T}^d} |g_n|^2.$$

Compactness since the g_n are uniformly $(L_{t,x}^2)^d$ -bounded,

ρ_n is strongly compact in $L_{t,x}^1$ and $\rho_n^{\frac{\alpha}{2}}$ is weakly compact in $L_t^2 H_x^1$.

Uniqueness of the limit: We have for some ρ that, along a subsequence,

$$\rho_n \rightarrow \rho \text{ in } L_{t,x}^1 \text{ and } \rho_n^{\frac{\alpha}{2}} \rightharpoonup \rho^{\frac{\alpha}{2}} \text{ in } L_t^2 H_x^1,$$

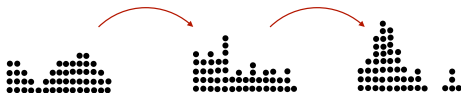
from which we conclude that

$$\partial_t \rho = 2 \nabla \cdot (\rho^{\frac{\alpha}{2}} \nabla \rho^{\frac{\alpha}{2}}) - \nabla \cdot (\rho^{\frac{\alpha}{2}} g),$$

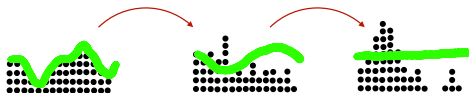
and that $\rho_n \rightarrow \rho$ along the full sequence $n \rightarrow \infty$.

IV. L.s.c. envelope of the rate function

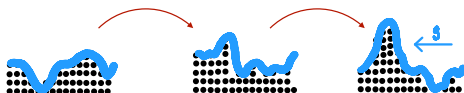
The zero range process: μ^N on $\mathbb{T}^1 \times [0, T]$ for $N = 15$ and $T(k) \sim ke^{-kt}$,



The heat equation: the hydrodynamic limit $\partial_t \bar{\rho} = \Delta \bar{\rho}$,



The skeleton equation: the controlled equation $\partial_t \rho = \Delta \rho - \nabla \cdot (\sqrt{\rho} \cdot g)$,



The rate function: we have $\mathbb{P}(\mu^N \simeq \rho) \simeq \exp(-NI(\rho))$ for

$$I(\rho) = \frac{1}{2} \inf \{ \|g\|_{L^2_{t,x}}^2 : \partial_t \rho = \Delta \rho - \nabla \cdot (\sqrt{\rho} g) \}.$$

IV. L.s.c. envelope of the rate function

The hydrodynamic limit: for the parabolically rescaled, mean zero particle process μ_t^N on \mathbb{T}_N^d , as $N \rightarrow \infty$, for $J(\bar{\rho}) = \nabla\sigma(\bar{\rho})$,

$$\mu_t^N \rightharpoonup \bar{\rho} dx \text{ for } \partial_t \bar{\rho} = \Delta\sigma(\bar{\rho}) = \nabla \cdot J(\bar{\rho}).$$

Macroscopic fluctuation theory: the probability of observing a space-time fluctuation (ρ, j) satisfying

$$\partial_t \rho = \nabla \cdot j \quad \left(\text{that is, } \partial_t \int_U \rho = \oint_{\partial U} j \cdot \nu \right),$$

satisfies the large deviations bound [Bertini et al.; 2014]

$$\mathbb{P}[\mu^N \simeq \rho] \simeq \exp(-NI(\rho)) \text{ for } I(\rho) = \int_0^T \int_{\mathbb{T}^d} (j - J(\rho)) \cdot m(\rho)^{-1} (j - J(\rho)).$$

The skeleton equation: if $(j - J(\rho)) = \sqrt{m(\rho)}g$ then $I(\rho) = \int_0^T \int_{\mathbb{T}^d} |g|^2$ and

$$\partial_t \rho = \nabla \cdot (J(\rho) + (j - J(\rho))) = \Delta\sigma(\rho) - \nabla \cdot (\sqrt{m(\rho)}g).$$

The zero range process: $\sigma(\rho) = \Phi(\rho)$ and $m(\rho) = \Phi(\rho)$ and

$$\partial_t \rho = \Delta\Phi(\rho) - \nabla \cdot (\Phi^{\frac{1}{2}}(\rho)g).$$

The exclusion process: $\sigma(\rho) = \rho$ and $m(\rho) = \rho(1 - \rho)$ and

$$\partial_t \rho = \Delta\rho - \nabla \cdot (\sqrt{\rho(1 - \rho)}g).$$

IV. L.s.c. envelope of the rate function

— for $I(\rho) = \frac{1}{2} \inf \{ \|g\|_{L^2(\mathbb{T}^d \times [0, T]; \mathbb{R}^d)}^2 : \partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^{\frac{\alpha}{2}} \cdot g) \}$.

Large Deviations Principle [Benois, Kipnis, Landim; 1995]

For every closed $A \subseteq D([0, T]; \mathcal{M}_+(\mathbb{T}^d))$,

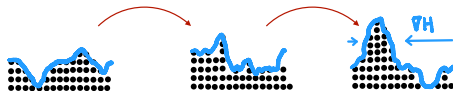
$$\limsup_{N \rightarrow \infty} \frac{1}{N} \log(\mathbb{P}[\mu^N \in A]) \leq - \inf_{m \in A} I(m).$$

For the space of smooth fluctuations

$$\mathcal{S} = \{ \partial_t m = \Delta m^\alpha - \nabla \cdot (m^\alpha \nabla H) : H \in C^{3,1}(\mathbb{T}^d \times [0, T]) \},$$

for every open subset $A \subseteq D([0, T]; \mathcal{M}_+(\mathbb{T}^d))$,

$$\limsup_{N \rightarrow \infty} \frac{1}{N} \log(\mathbb{P}[\mu^N \in A]) \geq - \overline{\inf_{\rho \in (A \cap \mathcal{S})} I(\rho)}^{\text{lsc}}.$$



IV. L.s.c. envelope of the rate function

The restricted rate function: for the space of smooth fluctuations

$$\mathcal{S} = \{\partial_t m = \Delta m^\alpha - \nabla \cdot (m^\alpha \nabla H) : H \in C^{3,1}(\mathbb{T}^d \times [0, T])\},$$

the large deviations lower bound is defined by

$$\limsup_{N \rightarrow \infty} \frac{1}{N} \log(\mathbb{P}[\mu^N \in A]) \geq - \overline{\inf_{\rho \in (A \cap \mathcal{S})} I(\rho)}^{\text{lsc}}.$$

The recovery sequence: given an arbitrary fluctuation

$$\partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^{\frac{\alpha}{2}} g),$$

need find a sequence $\rho_n \in \mathcal{S}$ such that

$$\rho_n \rightarrow \rho \in L^1_{t,x} \quad \text{and} \quad I(\rho_n) \rightarrow I(\rho).$$

IV. L.s.c. envelope of the rate function

The rate function: we have

$$I(\rho) = \frac{1}{2} \inf \left\{ \|g\|_{L^2}^2 : \partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^{\frac{\alpha}{2}} g) \right\}.$$

The Hilbert space: $H_{\rho^\alpha}^1$ is the strong closure w.r.t. the inner product

$$\langle \nabla \psi, \nabla \phi \rangle = \int_0^T \int_{\mathbb{T}^d} \rho^\alpha \nabla \psi \cdot \nabla \phi \text{ for } \phi, \psi \in C^\infty.$$

Unique minimizer: the equation defines

$$\partial_t \rho - \Delta \rho^\alpha = -\nabla \cdot (\rho^{\frac{\alpha}{2}} g) \in H_{\rho^\alpha}^{-1},$$

and if $I(\rho) < \infty$ then the minimizer $g = \rho^{\frac{\alpha}{2}} \nabla H$ for $H \in H_{\rho^\alpha}^1$ and

$$I(\rho) = \frac{1}{2} \int_0^T \int_{\mathbb{T}^d} \rho^\alpha |\nabla H|^2 = \frac{1}{2} \|H\|_{H_{\rho^\alpha}^1}^2 = \frac{1}{2} \|\partial_t \rho - \Delta \rho^\alpha\|_{H_{\rho^\alpha}^{-1}}^2.$$

The “ill-posed” equation: we have the formally “supercritical” equation

$$\partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^\alpha \nabla H).$$

IV. L.s.c envelope of the rate function

The recovery sequence: given an arbitrary fluctuation

$$\partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^{\frac{\alpha}{2}} g),$$

need find a sequence $\rho_n \in \mathcal{S}$ such that

$$\rho_n \rightarrow \rho \in L^1_{t,x} \quad \text{and} \quad I(\rho_n) \rightarrow I(\rho).$$

A first attempt: there exists $H \in H^1_{\rho^\alpha}$ such that

$$\partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^\alpha \nabla H) \quad \text{and} \quad I(\rho) = \frac{1}{2} \int_0^T \int_{\mathbb{T}^d} \rho^\alpha |\nabla H|^2.$$

Let ρ_ε solve

$$\partial_t \rho_\varepsilon = \Delta \rho_\varepsilon^\alpha - \nabla \cdot (\rho_\varepsilon^\alpha \nabla H^\varepsilon).$$

Passing $\varepsilon \rightarrow 0$?

- supercritical with no stable estimates with respect to ∇H
- the Hilbert space framework is too rigid

IV. L.s.c envelope of the rate function

A second attempt: for some $g \in L^2_{t,x}$ and ρ_0 with finite entropy,

$$\partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^{\frac{\alpha}{2}} g) \quad \text{with } \rho(\cdot, 0) = \rho_0.$$

Regularizing the data: we consider

$$\rho_{0,n} = \left((\rho_0 \wedge n) \vee \frac{1}{n} \right) * \kappa_x^{\frac{1}{n}} \quad \text{and} \quad g_n = g * \kappa_{t,x}^{\frac{1}{n}},$$

and solve

$$\partial_t \rho_n = \Delta \rho_n^\alpha - \nabla \cdot (\rho_n^{\frac{\alpha}{2}} g_n) \quad \text{with } \rho_n(\cdot, 0) = \rho_{0,n}.$$

There exists $H_n \in H^1_{\rho_n^\alpha}$ such that

$$\partial_t \rho_n = \Delta \rho_n^\alpha - \nabla \cdot (\rho_n^\alpha \nabla H_n) \quad \text{with } \rho_n(\cdot, 0) = \rho_{0,n}.$$

Deducing the regularity of H_n : we have the elliptic equation

$$-\nabla \cdot (\rho_n^\alpha \nabla H_n) = \partial_t \rho_n - \Delta \rho_n^\alpha.$$

- not necessarily uniformly elliptic
- is ρ_n regular?

IV. L.s.c envelope of the rate function

The final attempt: for some $g \in L^2_{t,x}$ and ρ_0 with finite entropy,

$$\partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^{\frac{\alpha}{2}} g) \quad \text{with } \rho(\cdot, 0) = \rho_0.$$

Regularizing the data: we consider

$$\rho_{0,n} = \left((\rho_0 \wedge n) \vee \frac{1}{n} \right) * \kappa_x^{\frac{1}{n}} \quad \text{and} \quad g_n = g * \kappa_{t,x}^{\frac{1}{n}},$$

“Turning off” the control: for $\sigma_n(\xi) = 0$ if $\xi \leq \frac{1}{n}$ or $\xi \geq n$, solve

$$\begin{aligned} \partial_t \rho_n &= \Delta \rho_n^\alpha - \nabla \cdot (\rho_n^{\frac{\alpha}{2}} \sigma_n(\rho_n) g_n) \\ &= \Delta \rho_n^\alpha - \nabla \cdot (\rho_n^{\frac{\alpha}{2}} \tilde{g}_n), \end{aligned}$$

for the control $\tilde{g}_n = \sigma_n(\rho_n) g_n$.

Regularity of ρ_n : we have that $\frac{1}{n} \leq \rho_n \leq n$ and $\rho_n \in C^\infty(\mathbb{T}^d \times [0, T])$.

Deducing the regularity of H_n : There exists $H_n \in H^1_{\rho_n^\alpha}$ such that

$$\partial_t \rho_n = \Delta \rho_n^\alpha - \nabla \cdot (\rho_n^\alpha \nabla H_n) \quad \text{and} \quad -\nabla \cdot (\rho_n^\alpha \nabla H_n) = \partial_t \rho_n - \Delta \rho_n^\alpha.$$

— in general, $\partial_t \rho = \Delta \Phi(\rho) - \nabla \cdot (\Phi^{\frac{1}{2}}(\rho) g)$ for $\Phi \in C^2_{\text{loc}}((0, \infty))$

IV. L.s.c envelope of the rate function

The fluctuation: for some $g \in L^2_{t,x}$ and ρ_0 with finite entropy,

$$\partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^{\frac{\alpha}{2}} g) \quad \text{with } \rho(\cdot, 0) = \rho_0.$$

The recovery sequence: for $\sigma_n(\xi) = 0$ if $\xi \leq \frac{1}{n}$ or $\xi \geq n$, solve

$$\partial_t \rho_n = \Delta \rho_n^\alpha - \nabla \cdot (\rho_n^{\frac{\alpha}{2}} \sigma_n(\rho_n) g_n) = \Delta \rho_n^\alpha - \nabla \cdot (\rho_n^{\frac{\alpha}{2}} \tilde{g}_n),$$

for the control $\tilde{g}_n = \sigma_n(\rho_n) g_n$ and with $\rho_n(\cdot, 0) = \rho_{0,n}$.

Compactness: the ρ_n satisfy uniformly the entropy estimate and

$$\rho_n \rightarrow \rho \quad \text{and} \quad \sigma(\rho_n) g_n \mathbf{1}_{\{\rho > 0\}} \rightarrow g \mathbf{1}_{\{\rho > 0\}} \quad \text{and} \quad I(\rho_n) \leq \|\sigma(\rho_n) g_n\|_2^2 \rightarrow \|g\|_2^2.$$

[F., Gess; 2023]

For the space of smooth fluctuations

$$\mathcal{S} = \{\partial_t m = \Delta m^\alpha - \nabla \cdot (m^\alpha \nabla H) : H \in C^{3,1}(\mathbb{T}^d \times [0, T])\},$$

we have that

$$\overline{I(\rho)}_{\mathcal{S}}^{\text{lsc}} = I(\rho) = \frac{1}{2} \inf \{\|g\|_2^2 : \partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^{\frac{\alpha}{2}} g)\}.$$

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