

# The kinetic formulation of the skeleton equation

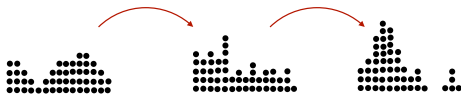
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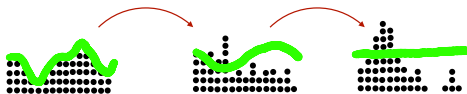
13 August 2024

# I. Macroscopic fluctuation theory

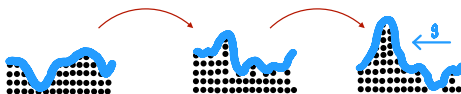
The zero range process:  $\mu^N$  on  $\mathbb{T}^1 \times [0, T]$  for  $N = 15$  and  $T(k) \sim ke^{-kt}$ ,



The heat equation: the hydrodynamic limit  $\partial_t \bar{\rho} = \Delta \bar{\rho}$ ,



The skeleton equation: the controlled equation  $\partial_t \rho = \Delta \rho - \nabla \cdot (\sqrt{\rho} \cdot g)$ ,



The rate function: we have  $\mathbb{P}(\mu^N \simeq \rho) \simeq \exp(-NI(\rho))$  for

$$I(\rho) = \frac{1}{2} \inf \{ \|g\|_{L^2_{t,x}}^2 : \partial_t \rho = \Delta \rho - \nabla \cdot (\sqrt{\rho} g) \}.$$

# I. Macroscopic fluctuation theory

**The hydrodynamic limit:** for the parabolically rescaled, mean zero particle process  $\mu_t^N$  on  $\mathbb{T}_N^d$ , as  $N \rightarrow \infty$ , for  $J(\bar{\rho}) = \nabla \sigma(\bar{\rho})$ ,

$$\mu_t^N \rightarrow \bar{\rho} dx \text{ for } \partial_t \bar{\rho} = \Delta \sigma(\bar{\rho}) = \nabla \cdot J(\bar{\rho}).$$

**Macroscopic fluctuation theory:** the probability of observing a space-time fluctuation  $(\rho, j)$  satisfying

$$\partial_t \rho = \nabla \cdot j,$$

satisfies the large deviations bound [Bertini et al.; 2014]

$$\mathbb{P}[\mu^N \simeq \rho] \simeq \exp(-NI(\rho)) \text{ for } I(\rho) = \int_0^T \int_{\mathbb{T}^d} (j - J(\rho)) \cdot m(\rho)^{-1} (j - J(\rho)).$$

**The skeleton equation:** if  $(j - J(\rho)) = \sqrt{m(\rho)}g$  then  $I(\rho) = \int_0^T \int_{\mathbb{T}^d} |g|^2$  and

$$\partial_t \rho = \nabla \cdot (J(\rho) + (j - J(\rho))) = \Delta \sigma(\rho) - \nabla \cdot (\sqrt{m(\rho)}g).$$

**The zero range process:**  $\sigma(\rho) = \Phi(\rho)$  and  $m(\rho) = \Phi(\rho)$  and

$$\partial_t \rho = \Delta \Phi(\rho) - \nabla \cdot (\Phi^{\frac{1}{2}}(\rho)g).$$

**The exclusion process:**  $\sigma(\rho) = \rho$  and  $m(\rho) = \rho(1 - \rho)$  and

$$\partial_t \rho = \Delta \rho - \nabla \cdot (\sqrt{\rho(1 - \rho)}g).$$

## II. The kinetic formulation of the skeleton equation

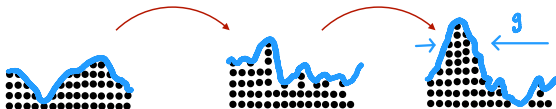
**The skeleton equation:** in the case of the zero range process,

$$\partial_t \rho = \Delta \Phi(\rho) - \nabla \cdot (\Phi^{\frac{1}{2}}(\rho)g) \text{ in } \mathbb{T}^d \times (0, T),$$

for an  $L^2$ -control  $g \in (L^2_{t,x})^d$ . We specialize to the case, for some  $\alpha \in (0, \infty)$ ,

$$\partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^{\frac{\alpha}{2}} g).$$

- energy critical in  $L^1$
- supercritical in  $L^p$  for every  $p \in (1, \infty)$
- nonnegative integrable initial data



## II. The kinetic formulation of the skeleton equation

**The skeleton equation:** we have that  $\partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^{\frac{\alpha}{2}} g)$ .

**Zooming in:** for  $\lambda, \eta, \tau \rightarrow 0$  the rescaling  $\tilde{\rho}(x, t) = \lambda \rho(\eta x, \tau t)$  solves

$$\partial_t \tilde{\rho} = \left( \frac{\tau}{\eta^2 \lambda^{\alpha-1}} \right) \Delta (\tilde{\rho}^\alpha) - \nabla \cdot (\tilde{\rho}^{\frac{\alpha}{2}} \tilde{g})$$

for  $\tilde{g}$  defined by

$$\tilde{g}(x, t) = \left( \frac{\tau}{\eta \lambda^{\frac{\alpha}{2}-1}} \right) g(\eta x, \tau t).$$

Preserving the diffusion and the  $L^r$ -norm of the initial data,

$$\left( \frac{\tau}{\eta^2 \lambda^{\alpha-1}} \right) = 1 \quad \text{and} \quad \lambda = \eta^{\frac{d}{r}}.$$

We then have that

$$\|\tilde{g}\|_{L_t^p L_x^q} = \eta^{1 - \frac{d}{p} + \frac{2}{q} + \frac{d}{r}} \left( \frac{\alpha}{2} - \frac{\alpha}{q} + \frac{1}{q} \right) \|g\|_{L_t^p L_x^q}.$$

We require  $1 + \frac{d}{r} \left( \frac{\alpha}{2} + \frac{1}{q} \right) \geq \frac{2}{q} + \frac{d}{p} + \frac{d\alpha}{rq}$ , and if  $p = q = 2$ ,

$$d/2r \geq d/2 \quad \text{and} \quad r = 1.$$

If  $r = 1$  then

$$1 + d \left( \frac{\alpha}{2} + \frac{1}{q} \right) \geq \frac{2}{q} + d \left( \frac{1}{p} + \frac{\alpha}{q} \right) \quad \text{and} \quad p = q = 2.$$

## II. The kinetic formulation of the skeleton equation

**A formal uniqueness proof:** if  $\rho_1$  and  $\rho_2$  solve

$$\partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^{\frac{\alpha}{2}} g),$$

we have using the distributional equalities

$$|\xi|' = \operatorname{sgn}(\xi) \quad \text{and} \quad \operatorname{sgn}'(\xi) = 2\delta_0(\xi),$$

that, integrating on the torus  $\mathbb{T}^d$ ,

$$\begin{aligned} \partial_t \left( \int |\rho_1 - \rho_2| \right) &= \int \operatorname{sgn}(\rho_1 - \rho_2) \Delta(\rho_1^\alpha - \rho_2^\alpha) - \int \operatorname{sgn}(\rho_1 - \rho_2) \nabla \cdot ((\rho_1^{\frac{\alpha}{2}} - \rho_2^{\frac{\alpha}{2}})g) \\ &= \int \operatorname{sgn}(\rho_1^\alpha - \rho_2^\alpha) \Delta(\rho_1^\alpha - \rho_2^\alpha) - \int \operatorname{sgn}(\rho_1^{\frac{\alpha}{2}} - \rho_2^{\frac{\alpha}{2}}) \nabla \cdot (\rho_1^{\frac{\alpha}{2}} - \rho_2^{\frac{\alpha}{2}})g \\ &= - \int 2\delta_0(\rho_1^\alpha - \rho_2^\alpha) |\nabla \rho_1^\alpha - \nabla \rho_2^\alpha|^2 - \int \nabla \cdot (|\rho_1^{\frac{\alpha}{2}} - \rho_2^{\frac{\alpha}{2}}|g) \leq 0. \end{aligned}$$

We therefore have that

$$\max_{t \in [0, T]} \|\rho_1 - \rho_2\|_{L^1(\mathbb{T}^d)} = \|\rho_{1,0} - \rho_{2,0}\|_{L^1(\mathbb{T}^d)}.$$

## II. The kinetic formulation of the skeleton equation

**A slightly less naive uniqueness proof:** for  $f^\delta = (f * \kappa^\delta)$ , and  $\rho_1, \rho_2$  solving

$$\partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^{\frac{\alpha}{2}} g),$$

we have that

$$\begin{aligned} \partial_t \left( \int |\rho_1 - \rho_2|^\delta \right) &= \int \operatorname{sgn}^\delta(\rho_1 - \rho_2) \Delta(\rho_1^\alpha - \rho_2^\alpha) - \int \operatorname{sgn}^\delta(\rho_1 - \rho_2) \nabla \cdot \left( (\rho_1^{\frac{\alpha}{2}} - \rho_2^{\frac{\alpha}{2}}) g \right) \\ &= - \int 2\delta_0^\delta(\rho_1 - \rho_2) \nabla(\rho_1 - \rho_2) \cdot \nabla(\rho_1^\alpha - \rho_2^\alpha) + \int 2\delta_0^\delta(\rho_1 - \rho_2) (\rho_1^{\frac{\alpha}{2}} - \rho_2^{\frac{\alpha}{2}}) \nabla(\rho_1 - \rho_2) \cdot g. \end{aligned}$$

If  $\alpha = 1$  then using Hölder's and Young's inequalities, for every  $\varepsilon \in (0, 1)$ ,

$$\begin{aligned} \partial_t \left( \int |\rho_1 - \rho_2|^\delta \right) &+ \int 2\delta_0^\delta(\rho_1 - \rho_2) |\nabla(\rho_1 - \rho_2)|^2 \\ &\leq \varepsilon \int \delta_0^\delta(\rho_1 - \rho_2) |\nabla(\rho_1 - \rho_2)|^2 + \frac{1}{\varepsilon} \int \delta_0^\delta(\rho_1 - \rho_2) (\sqrt{\rho_1} - \sqrt{\rho_2})^2 |g|^2. \end{aligned}$$

Therefore, using that  $\delta_0^\delta(\rho_1 - \rho_2) \lesssim \delta^{-1} \mathbf{1}_{\{|\rho_1 - \rho_2| < \delta\}}$ ,

$$\partial_t \left( \int |\rho_1 - \rho_2|^\delta \right) + \int \delta_0^\delta(\rho_1 - \rho_2) |\nabla(\rho_1 - \rho_2)|^2 \lesssim \int \mathbf{1}_{\{0 < |\rho_1 - \rho_2| < \delta\}} |g|^2.$$

## II. The kinetic formulation of the skeleton equation

**Preservation of nonnegativity:** if  $\rho_0 \geq 0$  then at the first time  $\rho$  hits zero,

$$0 \geq \partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^{\frac{\alpha}{2}} g) = \Delta \rho^\alpha - \rho^{\frac{\alpha}{2}} \nabla \cdot g - \nabla \rho^{\frac{\alpha}{2}} \cdot g \geq 0,$$

from which we conclude that  $\partial_t \rho = 0$  and that  $\rho \geq 0$  if  $\rho_0 \geq 0$ .

**Conservation of mass:** solutions preserve mass if  $\rho_0 \geq 0$ ,

$$\partial_t \left( \int_{\mathbb{T}^d} \rho(x, t) \right) = \int_{\mathbb{T}^d} \partial_t \rho = \int_{\mathbb{T}^d} \nabla \cdot (\nabla \rho^\alpha - \rho^{\frac{\alpha}{2}} g) = 0.$$

**An a priori estimate:** for an arbitrary nonlinearity  $\Psi$  with  $\psi = \Psi'$ ,

$$\partial_t \left( \int_{\mathbb{T}^d} \Psi(\rho) \right) = -\alpha \int_{\mathbb{T}^d} \rho^{\alpha-1} \psi'(\rho) |\nabla \rho|^2 + \int_{\mathbb{T}^d} \rho^{\frac{\alpha}{2}} g \cdot \psi'(\rho) \nabla \rho.$$

Therefore, using Hölder's and Young's inequalities, for every  $\varepsilon \in (0, 1)$ ,

$$\partial_t \left( \int_{\mathbb{T}^d} \Psi(\rho) \right) + \alpha \int_{\mathbb{T}^d} \rho^{\alpha-1} \psi'(\rho) |\nabla \rho|^2 \leq \frac{1}{2\varepsilon} \int_{\mathbb{T}^d} |g|^2 + \frac{\varepsilon}{2} \int_{\mathbb{T}^d} \rho^\alpha \psi'(\rho)^2 |\nabla \rho|^2.$$

To close the estimate, we require that

$$\rho^\alpha \psi'(\rho)^2 \lesssim \psi'(\rho) \rho^{\alpha-1} \quad \text{so} \quad \psi'(\xi) \lesssim \frac{1}{\xi}.$$



## II. The kinetic formulation of the skeleton equation

**The equation:** we have that

$$\partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^{\frac{\alpha}{2}} g).$$

**A priori estimates:** for  $\Psi'(\xi) = \psi(\xi)$ , to close the estimate

$$\max_{t \in [0, T]} \int_{\mathbb{T}^d} \Psi(\rho) + \int_0^T \int_{\mathbb{T}^d} \psi'(\xi) \rho^{\alpha-1} |\nabla \rho|^2 \lesssim \int_{\mathbb{T}^d} \Psi(\rho_0) + \int_0^T \int_{\mathbb{T}^d} |g|^2,$$

we require that  $\psi'(\xi) \lesssim \frac{1}{\xi}$ .

**Entropy dissipation:** if  $\psi(\xi) = \log(\xi)$  then  $\Psi(\xi) = \xi \log(\xi) - \xi$  and using

$$\rho^{\alpha-2} |\nabla \rho|^2 = |\rho^{\frac{\alpha-2}{2}} \nabla \rho|^2 = \frac{4}{\alpha^2} |\nabla \rho^{\frac{\alpha}{2}}|^2,$$

we have using the preservation of the  $L^1$ -norm that

$$\max_{t \in [0, T]} \int_{\mathbb{T}^d} \rho \log(\rho) + \int_0^T \int_{\mathbb{T}^d} |\nabla \rho^{\frac{\alpha}{2}}|^2 \lesssim \int_{\mathbb{T}^d} \rho_0 \log(\rho_0) + \int_0^T \int_{\mathbb{T}^d} |g|^2.$$

— fluctuation-dissipation relation  $\Psi''(\xi) \simeq \frac{\Phi'(\xi)}{\Phi(\xi)}$  for  $\Phi(\xi) = \xi^\alpha$

## II. The kinetic formulation of the skeleton equation

**A local  $H^1$ -estimate:** for  $M < N \in (0, \infty)$  let  $\psi'_{M,N} = (N - M)^{-1} \mathbf{1}_{\{M < \xi < N\}}$  so that

$$\psi_{M,N}(\xi) = \frac{(x - M)_+}{N - M} \wedge 1 \quad \text{and} \quad \Psi_{M,N}(\xi) = \begin{cases} \frac{(x - M)_+^2}{2(N - M)} & \xi \in [0, N] \\ \frac{1}{2}(N - M) + (x - N) & \xi \in [N, \infty). \end{cases}$$

Since for a constant depending on  $M, N$  we have  $\psi'(\xi) \lesssim \frac{1}{\xi}$ ,

$$\max_{t \in [0, T]} \int_{\mathbb{T}^d} \Psi_{M,N}(\rho) + \int_0^T \int_{\mathbb{T}^d} \psi'_{M,N}(\xi) \rho^{\alpha-1} |\nabla \rho|^2 \lesssim \int_{\mathbb{T}^d} \Psi_{M,N}(\rho_0) + \int_0^T \int_{\mathbb{T}^d} |g|^2,$$

and, therefore, for a constant depending on  $M, N$ ,

$$\int_0^T \int_{\mathbb{T}^d} \mathbf{1}_{\{M \leq \rho \leq N\}} \rho^{\alpha-1} |\nabla \rho|^2 \lesssim \int_{\mathbb{T}^d} \rho_0 + \int_0^T \int_{\mathbb{T}^d} |g|^2.$$

**Local regularity:** for every  $K \in (1, \infty)$ ,

$$((\rho \wedge K) \vee K^{-1}) \in L_t^2 H_x^1.$$

## II. The kinetic formulation of the skeleton equation

**The skeleton equation:** for an  $(L^2_{t,x})^d$ -valued control  $g$ ,

$$\partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^{\frac{\alpha}{2}} g).$$

**$L^1$ -contraction:** if  $\rho_1$  and  $\rho_2$  are solutions,

$$\max_{t \in [0, T]} \|\rho_1 - \rho_2\|_{L^1(\mathbb{T}^d)} = \|\rho_{1,0} - \rho_{2,0}\|_{L^1(\mathbb{T}^d)}.$$

**Preservation of nonnegativity and mass:** if  $\rho_0 \geq 0$  then  $\rho \geq 0$  with

$$\|\rho(x, t)\|_{L^1(\mathbb{T}^d)} = \|\rho_0\|_{L^1(\mathbb{T}^d)}.$$

**The entropy estimate:** if  $\rho_0$  is nonnegative with finite entropy then

$$\max_{t \in [0, T]} \int_{\mathbb{T}^d} \rho \log(\rho) + \int_0^T \int_{\mathbb{T}^d} |\nabla \rho^{\frac{\alpha}{2}}|^2 \lesssim \int_{\mathbb{T}^d} \rho_0 \log(\rho_0) + \int_0^T \int_{\mathbb{T}^d} |g|^2.$$

**The local  $H^1$ -estimate:** for every  $M < N \in (0, \infty)$ ,

$$\int_0^T \int_{\mathbb{T}^d} \mathbf{1}_{\{M < \rho < N\}} \rho^{\alpha-1} |\nabla \rho|^2 \lesssim \int_{\mathbb{T}^d} \rho_0 + \int_0^T \int_{\mathbb{T}^d} |g|^2.$$

## II. The kinetic formulation of the skeleton equation

**A renormalized equation:** for  $\eta \in (0, 1)$  we consider the regularized equation

$$\partial_t \rho = \Delta \rho^\alpha + \eta \Delta \rho - \nabla \cdot (\rho^{\frac{\alpha}{2}} g).$$

Then, for a smooth  $S: \mathbb{R} \rightarrow \mathbb{R}$  and  $\phi \in C^\infty(\mathbb{T}^d)$ ,

$$\begin{aligned} \partial_t \left( \int S(\rho) \phi(x) \right) &= \int S'(\rho) \phi(x) (\Delta \rho^\alpha + \eta \Delta \rho - \nabla \cdot (\rho^{\frac{\alpha}{2}} g)) \\ &= - \int S'(\rho) \nabla \phi(x) \cdot (\alpha \rho^{\alpha-1} \nabla \rho + \eta \nabla \rho) \\ &\quad - \int S''(\rho) \phi(x) (\alpha \rho^{\alpha-1} |\nabla \rho|^2 + \eta |\nabla \rho|^2) \\ &\quad + \int S''(\rho) \phi(x) \rho^{\frac{\alpha}{2}} g \cdot \nabla \rho + S'(\rho) \nabla \phi(x) \cdot (\rho^{\frac{\alpha}{2}} g). \end{aligned}$$

If  $S'' \geq 0$  and  $\phi \geq 0$  then the entropy formulation yields that, after passing  $\eta \rightarrow 0$ ,

$$\begin{aligned} \partial_t \left( \int S(\rho) \phi(x) \right) &\leq - \int S'(\rho) \nabla \phi(x) \cdot (\alpha \rho^{\alpha-1} \nabla \rho) - \int S''(\rho) \phi(x) (\alpha \rho^{\alpha-1} |\nabla \rho|^2) \\ &\quad + \int S''(\rho) \phi(x) \rho^{\frac{\alpha}{2}} g \cdot \nabla \rho + S'(\rho) \nabla \phi(x) \cdot (\rho^{\frac{\alpha}{2}} g). \end{aligned}$$

## II. The kinetic formulation of the skeleton equation

**The kinetic function:** for  $\chi(x, \xi, t) = \mathbf{1}_{\{0 < \xi < \rho(x, t)\}} - \mathbf{1}_{\{\rho(x, t) < \xi < 0\}}$  we have

$$\partial_\xi \chi = \delta_0 - \delta_\rho \quad \text{and} \quad \nabla_x \chi = \delta_\rho \nabla \rho,$$

for  $\delta_\rho = \delta_0(\xi - \rho)$ .

**A renormalized equation:** since we have that

$$\begin{aligned} \partial_t \left( \int S(\rho) \phi(x) \right) &= - \int S'(\rho) \nabla \phi(x) \cdot (\alpha \rho^{\alpha-1} \nabla \rho + \eta \nabla \rho) \\ &- \int S''(\rho) \phi(x) (\alpha \rho^{\alpha-1} |\nabla \rho|^2 + \eta |\nabla \rho|^2) + \int S''(\rho) \phi(x) \rho^{\frac{\alpha}{2}} g \cdot \nabla \rho + S'(\rho) \nabla \phi(x) \cdot (\rho^{\frac{\alpha}{2}} g), \end{aligned}$$

we have using the equality  $\int_{\mathbb{R}} S'(\xi) \chi(x, \xi, t) d\xi = S(\rho)$  that

$$\begin{aligned} \partial_t \left( \int_{\mathbb{T}^d} \int_{\mathbb{R}} \chi S'(\xi) \phi(x) \right) &= - \int \int \nabla(S'(\xi) \phi(x)) \cdot (\alpha \xi^{\alpha-1} \nabla \chi + \eta \nabla \chi) \\ &- \int \int \partial_\xi(S'(\xi) \phi(x)) \delta_\rho (\alpha \xi^{\alpha-1} |\nabla \rho|^2 + \eta |\nabla \rho|^2) \\ &+ \int \int \partial_\xi(S'(\xi) \phi(x)) \xi^{\frac{\alpha}{2}} g \cdot \nabla \chi - \nabla(S'(\rho) \phi(x)) \cdot (\xi^{\frac{\alpha}{2}} \partial_\xi \chi g). \end{aligned}$$

— the test function  $\psi(x, \xi) = S'(\xi) \phi(x)$

## II. The kinetic formulation of the skeleton equation

**A renormalized equation:** for the regularized skeleton equation

$$\partial_t \rho = \Delta \rho^\alpha + \eta \Delta \rho - \nabla \cdot (\rho^{\frac{\alpha}{2}} g),$$

we have for  $\chi = \mathbf{1}_{\{0 < \xi < \rho(x,t)\}} - \mathbf{1}_{\{\rho(x,t) < \xi < 0\}}$ , for every  $\psi \in C_c^\infty(\mathbb{T}^d \times (0, \infty))$ ,

$$\begin{aligned} \partial_t \left( \int_{\mathbb{T}^d} \int_{\mathbb{R}} \chi \psi \right) &= - \int \int \nabla \psi \cdot (\alpha \xi^{\alpha-1} \nabla \chi + \eta \nabla \chi) - \int \int \partial_\xi \psi \delta_\rho (\alpha \xi^{\alpha-1} |\nabla \rho|^2 + \eta |\nabla \rho|^2) \\ &\quad + \int \int \partial_\xi \psi \xi^{\frac{\alpha}{2}} g \cdot \nabla \chi - \nabla \psi \cdot (\xi^{\frac{\alpha}{2}} \partial_\xi \chi g), \end{aligned}$$

or, distributionally, for the measure  $q^\eta = \delta_\rho (\alpha \xi^{\alpha-1} |\nabla \rho|^2 + \eta |\nabla \rho|^2)$ ,

$$\partial_t \chi = \alpha \xi^{\alpha-1} \Delta \chi + \eta \Delta \chi + \partial_\xi q^\eta - \partial_\xi (\xi^{\frac{\alpha}{2}} g \cdot \nabla \chi) + \nabla \cdot (\xi^{\frac{\alpha}{2}} \partial_\xi \chi g).$$

Using the distributional equalities  $\nabla \chi = \delta_\rho \nabla \rho$  and  $\partial_\xi \chi = \delta_0 - \delta_\rho$ ,

$$\begin{aligned} \partial_t \left( \int_{\mathbb{T}^d} \int_{\mathbb{R}} \chi \psi \right) &= - \int \int (\alpha \rho^{\alpha-1} + \eta) (\nabla \psi)(x, \rho) \cdot \nabla \rho - \int \int \partial_\xi \psi q^\eta \\ &\quad + \int \int (\partial_\xi \psi)(x, \rho) \rho^{\frac{\alpha}{2}} g \cdot \nabla \rho + \rho^{\frac{\alpha}{2}} g \cdot (\nabla \psi)(x, \rho). \end{aligned}$$

- $(\nabla \psi)(x, \rho)$  is the derivative of  $\psi$  evaluated at  $(x, \rho)$
- for example, [Perthame; 1998], [Chen, Perthame; 2003]

## II. The kinetic formulation of the skeleton equation

**The kinetic formulation of the skeleton equation:** for the regularized equation

$$\partial_t \rho = \Delta \rho^\alpha + \eta \Delta \rho - \nabla \cdot (\rho^{\frac{\alpha}{2}} g),$$

for the defect measure  $q^\eta = \delta_\rho(\alpha \xi^{\alpha-1} |\nabla \rho|^2 + \eta |\nabla \rho|^2)$ , the kinetic formulation is

$$\partial_t \chi = \alpha \xi^{\alpha-1} \Delta \chi + \eta \Delta \chi + \partial_\xi q^\eta - \partial_\xi (\xi^{\frac{\alpha}{2}} g \cdot \nabla \chi) + \nabla \cdot (\xi^{\frac{\alpha}{2}} (\partial_\xi \chi) g).$$

Passing  $\eta \rightarrow 0$ , if weakly in the sense of measures,

$$q^\eta \rightharpoonup q \geq \delta_\rho(\alpha \xi^{\alpha-1} |\nabla \rho|^2),$$

and if  $\rho^\eta \rightarrow \rho$  strongly then the kinetic function  $\chi$  of  $\rho$  solves

$$\partial_t \chi = \alpha \xi^{\alpha-1} \Delta \chi + \partial_\xi q - \partial_\xi (\xi^{\frac{\alpha}{2}} g \cdot \nabla \chi) + \nabla \cdot (\xi^{\frac{\alpha}{2}} (\partial_\xi \chi) g),$$

for a locally finite, nonnegative measure  $q$  on  $\mathbb{T}^d \times \mathbb{R} \times [0, T]$  with

$$q \geq \delta_\rho(\alpha \xi^{\alpha-1} |\nabla \rho|^2).$$

— the kinetic formulation exactly quantifies this “entropy inequality”

## II. The kinetic formulation of the skeleton equation

**The skeleton equation:** for  $g \in (L^2_{t,x})^d$  and  $\alpha \in (0, \infty)$ ,

$$\partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^{\frac{\alpha}{2}} g)$$

for  $\chi = \mathbf{1}_{\{0 < \xi < \rho(x,t)\}} - \mathbf{1}_{\{\rho(x,t) < \xi < 0\}}$  the kinetic formulation is

$$\partial_t \chi = \alpha \xi^{\alpha-1} \Delta \chi + \partial_\xi q - \partial_\xi (\xi^{\frac{\alpha}{2}} g \cdot \nabla \chi) + \nabla \cdot (\xi^{\frac{\alpha}{2}} (\partial_\xi \chi) g),$$

for a locally finite, nonnegative measure  $q \geq \delta_\rho (\alpha \xi^{\alpha-1} |\nabla \rho|^2)$ .

**The defect measure:** if  $\rho$  solves the porous media equation  $\partial_t \rho = \Delta \rho^\alpha$ ,

$$q(x, \xi, t) = \delta_\rho \alpha \xi^{\alpha-1} |\nabla \rho|^2 = \delta_\rho \frac{4\alpha}{(\alpha+1)^2} |\nabla \rho^{\frac{\alpha+1}{2}}|^2,$$

and for  $\chi = \mathbf{1}_{\{0 < \xi < \rho(x,t)\}} - \mathbf{1}_{\{\rho(x,t) < \xi < 0\}}$ ,

$$\partial_t \chi = \alpha \xi^{\alpha-1} \Delta \chi + \partial_\xi q.$$

**An  $L^2$ -estimate:** testing the equation with  $\psi(x, \xi) = \xi$ , since  $\int_{\mathbb{R}} \chi \xi = \frac{1}{2} \rho^2$ ,

$$\frac{1}{2} \int_{\mathbb{T}^d} \rho^2(x, t) = \int_{\mathbb{T}^d} \int_{\mathbb{R}} \chi(x, \xi, t) \xi = \frac{1}{2} \int_{\mathbb{T}^d} \rho_0^2 - \int_0^t \int_{\mathbb{T}^d} q.$$

and, therefore,

$$\max_{t \in [0, T]} \|\rho\|_{L^2}^2 + \int_0^T \int_{\mathbb{T}^d} |\nabla \rho^{\frac{\alpha+1}{2}}|^2 \lesssim \max_{t \in [0, T]} \|\rho\|_{L^2}^2 + \int_0^T \int_{\mathbb{T}^d} q \lesssim \|\rho_0\|_2^2.$$



## II. The kinetic formulation of the skeleton equation

**The equation:** for  $\chi = \mathbf{1}_{\{0 < \xi < \rho(x,t)\}} - \mathbf{1}_{\{\rho(x,t) < \xi < 0\}}$ ,

$$\partial_t \chi = \alpha \xi^{\alpha-1} \Delta \chi + \partial_\xi q - \partial_\xi (\xi^{\frac{\alpha}{2}} g \cdot \nabla \chi) + \nabla \cdot (\xi^{\frac{\alpha}{2}} (\partial_\xi \chi) g).$$

**Preservation of mass:** since  $\int_{\mathbb{R}} \chi(x, \xi, t) d\xi = \rho(x, t)$ ,

$$\partial_t \left( \int_{\mathbb{T}^d} \rho \right) = \partial_t \left( \int_{\mathbb{T}^d} \int_{\mathbb{R}} \chi \right) = \int_{\mathbb{T}^d} \int_{\mathbb{R}} \partial_t \chi = 0.$$

**The entropy estimate:** for test function  $\psi(\xi) = \log(\xi)$ , since  $\nabla \chi = \delta_\rho \nabla \rho$ ,

$$\begin{aligned} \int_{\mathbb{T}^d} \int_{\mathbb{R}} \chi \log(\xi) \Big|_{s=0}^{s=T} &= - \int_0^T \int_{\mathbb{T}^d} \int_{\mathbb{R}} \frac{1}{\xi} q + \int_0^T \rho^{\frac{\alpha}{2}-1} g \cdot \nabla \rho \\ &= - \int_0^T \int_{\mathbb{T}^d} \int_{\mathbb{R}} \frac{1}{\xi} q + \frac{2}{\alpha} \int_0^T g \cdot \nabla \rho^{\frac{\alpha}{2}}. \end{aligned}$$

Since we have that

$$\frac{1}{\xi} q \geq \frac{1}{\xi} \cdot \delta_\rho (\xi^{\alpha-1} |\nabla \rho|^2) = \rho^{\alpha-2} |\nabla \rho|^2 \simeq |\nabla \rho^{\frac{\alpha}{2}}|^2,$$

using the preservation of mass and  $\int_{\mathbb{R}} \chi \log(\xi) = \rho \log(\rho) - \rho$ ,

$$\max_{t \in [0, T]} \int_{\mathbb{T}^d} \rho \log(\rho) + \int_0^T \int_{\mathbb{T}^d} \int_{\mathbb{R}} \frac{1}{\xi} q \lesssim \int_{\mathbb{T}^d} \rho_0 \log(\rho_0) + \int_0^T \int_{\mathbb{T}^d} |g|^2.$$

## II. The kinetic formulation of the skeleton equation

**The equation:** for  $\chi = \mathbf{1}_{\{0 < \xi < \rho(x,t)\}} - \mathbf{1}_{\{\rho(x,t) < \xi < 0\}}$ ,

$$\partial_t \chi = \alpha \xi^{\alpha-1} \Delta \chi + \partial_\xi q - \partial_\xi (\xi^{\frac{\alpha}{2}} g \cdot \nabla \chi) + \nabla \cdot (\xi^{\frac{\alpha}{2}} (\partial_\xi \chi) g).$$

**The local  $H^1$ -estimate:** for  $\psi'_M(\xi) = \mathbf{1}_{\{M < \xi < M+1\}}$  with  $\psi_M(0) = 0$ ,

$$\begin{aligned} \int_{\mathbb{T}^d} \int_{\mathbb{R}} \chi \psi_M \Big|_{s=0}^{s=T} &= - \int_0^T \int_{\mathbb{T}^d} \int_M^{M+1} q + \int_0^T \int_{\mathbb{T}^d} \int_{\mathbb{R}} \mathbf{1}_{\{M < \xi < M+1\}} \xi^{\frac{\alpha}{2}} g \cdot \delta_\rho \nabla \rho \\ &= - \int_0^T \int_{\mathbb{T}^d} \int_M^{M+1} q + \int_0^T \int_{\mathbb{T}^d} \mathbf{1}_{\{M < \rho < M+1\}} \rho^{\frac{\alpha}{2}} g \cdot \nabla \rho \\ &= - \int_0^T \int_{\mathbb{T}^d} \int_M^{M+1} q + \frac{2}{\alpha + 1} \int_0^T \int_{\mathbb{T}^d} \mathbf{1}_{\{M < \rho < M+1\}} \rho^{\frac{1}{2}} g \cdot \nabla \rho^{\frac{\alpha+1}{2}}. \end{aligned}$$

Since we have that

$$q \geq \delta_\rho (\alpha \xi^{\alpha-1} |\nabla \rho|^2) \simeq \delta_\rho |\nabla \rho^{\frac{\alpha+1}{2}}|^2,$$

we have that

$$\begin{aligned} \int_0^T \int_{\mathbb{T}^d} \int_M^{M+1} q &\lesssim \int_{\mathbb{T}^d} (\rho_0 - M)_+ + \int_0^T \int_{\mathbb{T}^d} \mathbf{1}_{\{M < \rho < M+1\}} \rho |g|^2 \\ &\lesssim \int_{\mathbb{T}^d} (\rho_0 - M)_+ + (M+1) \int_0^T \int_{\mathbb{T}^d} \mathbf{1}_{\{M < \rho < M+1\}} |g|^2. \end{aligned}$$

## II. The kinetic formulation of the skeleton equation

**The kinetic formulation of the skeleton equation:** for  $q \geq \delta_\rho \alpha \xi^{\alpha-1} |\nabla \rho|^2$ ,

$$\partial_t \chi = \alpha \xi^{\alpha-1} \Delta \chi + \partial_\xi q - \partial_\xi (\xi^{\frac{\alpha}{2}} g \cdot \nabla \chi) + \nabla \cdot (\xi^{\frac{\alpha}{2}} (\partial_\xi \chi) g).$$

**Preservation of nonnegativity and mass:** if  $\rho_0 \geq 0$  then  $\rho \geq 0$  with

$$\|\rho(x, t)\|_{L^1(\mathbb{T}^d)} = \|\rho_0\|_{L^1(\mathbb{T}^d)}.$$

**The entropy estimate:** if  $\rho_0$  is nonnegative with finite entropy then

$$\max_{t \in [0, T]} \int_{\mathbb{T}^d} \rho \log(\rho) + \int_0^T \int_{\mathbb{T}^d} \int_{\mathbb{R}} \frac{1}{\xi} q \lesssim \int_{\mathbb{T}^d} \rho_0 \log(\rho_0) + \int_0^T \int_{\mathbb{T}^d} |g|^2.$$

**The local  $H^1$ -estimate:** for every  $M < N \in (0, \infty)$ ,

$$\begin{aligned} \alpha \int_0^T \int_{\mathbb{T}^d} \mathbf{1}_{\{M < \rho < N\}} \rho^{\alpha-1} |\nabla \rho|^2 &\lesssim \int_0^T \int_{\mathbb{T}^d} \int_M^N q \\ &\lesssim \int_{\mathbb{T}^d} (\rho_0 - M)_+ + \frac{N}{N - M} \int_0^T \int_{\mathbb{T}^d} \mathbf{1}_{\{M < \rho < N\}} |g|^2. \end{aligned}$$

— local  $H^1$ -regularity of  $\rho$  away from  $\{\rho \simeq 0\}$  and  $\{\rho \simeq \infty\}$ : for every  $K \in \mathbb{N}$ ,

$$\left( (\rho \wedge K) \vee \frac{1}{K} \right) \in L_t^2 H_x^1.$$

## II. The kinetic formulation of the skeleton equation

**The local  $H^1$ -estimate:** if  $\psi'(\xi) = \mathbf{1}_{\{M < \xi < M+1\}}$ ,

$$\int_0^T \int_{\mathbb{T}^d} \int_M^{M+1} q \lesssim \int_{\mathbb{T}^d} (\rho_0 - M)_+ + (M+1) \int_0^T \int_{\mathbb{T}^d} \mathbf{1}_{\{M < \rho < M+1\}} |g|^2.$$

**A real analysis lemma:** if  $a_k$  are nonnegative with  $\sum_{k=1}^{\infty} a_k < \infty$  then

$$\liminf_{k \rightarrow \infty} k a_k = 0.$$

If not, for  $k$  large  $\frac{1}{k} \lesssim a_k$  and  $\sum_{k=1}^{\infty} a_k$  diverges logarithmically.

**Vanishing of the defect measure at infinity:** we claim that

$$\liminf_{M \rightarrow \infty} \int_0^T \int_{\mathbb{T}^d} \int_M^{M+1} q = 0.$$

If  $\rho_0 \in L^1(\mathbb{T}^d)$  then  $\lim_{M \rightarrow \infty} \int_{\mathbb{T}^d} (\rho_0 - M)_+ = 0$ . For the control term, for  $k \in \mathbb{N}$ ,

$$a_k = \int_0^T \int_{\mathbb{T}^d} \mathbf{1}_{\{k-1 < \rho < k\}} |g|^2.$$

Then  $\sum_{k=1}^{\infty} a_k \leq \int_0^T \int_{\mathbb{T}^d} |g|^2 < \infty$  and

$$\liminf_{k \rightarrow \infty} k a_k = \liminf_{M \rightarrow \infty} (M+1) \int_0^T \int_{\mathbb{T}^d} \mathbf{1}_{\{M < \rho < M+1\}} |g|^2 = 0.$$

## II. The kinetic formulation of the skeleton equation

### A renormalized kinetic solution of the skeleton equation

A nonnegative function  $\rho \in C([0, T]; L^1(\mathbb{T}^d))$  is a renormalized kinetic solution of the skeleton equation if there exists a nonnegative, locally finite measure  $q$  on  $\mathbb{T}^d \times \mathbb{R} \times [0, T]$  such that  $\rho$  and  $q$  satisfy the following four properties.

- *Preservation of mass:*  $\|\rho(x, t)\|_{L^1(\mathbb{T}^d)} = \|\rho_0\|_{L^1(\mathbb{T}^d)}$  for every  $t \in [0, T]$ .
- *Local  $H^1$ -regularity:*  $((\rho \wedge K) \vee \frac{1}{K}) \in L^2([0, T]; H^1(\mathbb{T}^d))$  for every  $K \in \mathbb{N}$ .
- *Regularity and vanishing of the measure at infinity:* we have that

$$\delta_\rho(\alpha \xi^{\alpha-1} |\nabla \rho|^2) \leq q \quad \text{and} \quad \liminf_{M \rightarrow \infty} q(\mathbb{T}^d \times [M, M+1] \times [0, T]) = 0.$$

- *The equation:* for every  $\psi \in C_c^\infty(\mathbb{T}^d \times (0, \infty))$  and  $t \in [0, T]$ ,

$$\begin{aligned} \int_{\mathbb{T}^d} \chi \psi \Big|_{s=0}^{s=t} &= - \int_0^t \int_{\mathbb{T}^d} \alpha \rho^{\alpha-1} \nabla \rho \cdot (\nabla \psi)(x, \rho) - \int_0^t \int_{\mathbb{T}^d} \int_{\mathbb{R}} (\partial_\xi \psi)(x, \xi) q \\ &\quad + \int_0^t \int_{\mathbb{T}^d} (\partial_\xi \psi)(x, \rho) \rho^{\frac{\alpha}{2}} g \cdot \nabla \rho + \int_0^t \int_{\mathbb{T}^d} \rho^{\frac{\alpha}{2}} g \cdot (\nabla \psi)(x, \rho). \end{aligned}$$

- the equation is not enforced on the set  $\{\rho = 0\}$ ! Why are solutions unique?

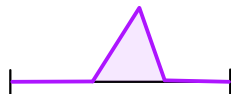
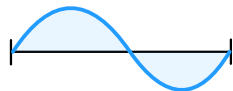
## II. The kinetic formulation of the skeleton equation

**A source of nonuniqueness:** take the positive part of a signed solution to the heat equation

$$\partial_t \rho = \Delta \rho,$$

Or, for  $U \subseteq \mathbb{T}^d$ , extend by zero the solution to the Dirichlet problem

$$\partial_t \rho = \Delta \rho \text{ in } U \times (0, T).$$



## II. The kinetic formulation of the skeleton equation

**Flux through zero:** for  $\alpha \in (0, 1)$  consider a signed solution of the equation

$$\partial_t \rho = \Delta \rho^\alpha.$$

At what rate does “positive mass” change? Precisely, for  $\rho_+ = (\rho \vee 0)$ ,

$$\begin{aligned} \partial_t \left( \int_{\mathbb{T}^d} \rho_+ \right) &= \int_{\mathbb{T}^d} \mathbf{1}_{\{\rho \geq 0\}} \partial_t \rho = \int_{\mathbb{T}^d} \mathbf{1}_{\{\rho \geq 0\}} \Delta \rho^\alpha \\ &= - \int_{\mathbb{T}^d} \delta_0(\rho) \nabla \rho \cdot \nabla \rho^\alpha \\ &= - \int_{\mathbb{T}^d} \delta_0(\rho) (\alpha \rho^{\alpha-1} |\nabla \rho|^2) \end{aligned}$$

For the defect measure

$$q(x, \xi, t) = \delta_0(\rho - \xi) (\alpha \xi^{\alpha-1} |\nabla \rho|^2),$$

we have that

$$\int_0^t \int_{\mathbb{T}^d} q(x, 0, s) dx ds = \int_0^t \int_{\mathbb{T}^d} \delta_0(\rho) (\alpha \rho^{\alpha-1} |\nabla \rho|^2) = \int_{\mathbb{T}^d} (\rho_{0,+}(x) - \rho_+(x, t)).$$

**Change of “positive mass”:** “positive mass” lost at the rate of

$$\int_{\mathbb{T}^d} q(x, 0, t).$$

### III. The kinetic formulation of the skeleton equation

**Vanishing of the defect measure:** for the equation

$$\partial_t \chi = \alpha \xi^{\alpha-1} \Delta \chi + \partial_\xi q - \partial_\xi (\xi^{\frac{\alpha}{2}} g \cdot \nabla \chi) + \nabla \cdot (\xi^{\frac{\alpha}{2}} (\partial_\xi \chi) g),$$

for the test functions  $\psi'_\beta = \frac{2}{\beta} \mathbf{1}_{\{\frac{\beta}{2} < \xi < \beta\}}$  and  $\zeta'_M = -\mathbf{1}_{\{M < \xi < M+1\}}$ ,

$$\begin{aligned} \int_{\mathbb{T}^d} \int_{\mathbb{R}} \chi \psi_\beta \zeta_M \Big|_{s=0}^{s=t} &= -\frac{2}{\beta} \int_0^t \int_{\mathbb{T}^d} \int_{\frac{\beta}{2}}^\beta q + \int_0^t \int_{\mathbb{T}^d} \int_M^{M+1} q \\ &\quad + \frac{2}{\beta} \int_0^t \int_{\mathbb{T}^d} \rho^{\frac{\alpha}{2}} g \cdot \nabla \rho \mathbf{1}_{\{\frac{\beta}{2} < \rho < \beta\}} + \int_0^t \int_{\mathbb{T}^d} \rho^{\frac{\alpha}{2}} g \cdot \nabla \rho \mathbf{1}_{\{M < \rho < M+1\}}. \end{aligned}$$

We have using  $\rho^{\frac{\alpha}{2}} \nabla \rho = \rho^{\frac{1}{2}} \cdot \rho^{\frac{\alpha-1}{2}} \nabla \rho$  and Hölder's and Young's inequalities that

$$\begin{aligned} \int_{\mathbb{T}^d} \int_{\mathbb{R}} \chi \psi_\beta \zeta_M \Big|_{s=0}^{s=t} &+ \frac{2}{\beta} \int_0^t \int_{\mathbb{T}^d} \int_{\frac{\beta}{2}}^\beta q \\ &\lesssim \int_0^t \int_{\mathbb{T}^d} \int_M^{M+1} q + \frac{1}{\beta} \int_0^t \int_{\mathbb{T}^d} \rho |g|^2 \mathbf{1}_{\{\frac{\beta}{2} < \rho < \beta\}} + \int_0^t \int_{\mathbb{T}^d} \rho |g|^2 \mathbf{1}_{\{M < \rho < M+1\}}. \end{aligned}$$

The righthand side vanishes as  $M \rightarrow \infty$  and  $\beta \rightarrow 0$ . Therefore,

$$\left\langle \int_0^t \int_{\mathbb{T}^d} q(x, 0, s) \right\rangle = \lim_{\beta \rightarrow 0} \frac{2}{\beta} \int_0^t \int_{\mathbb{T}^d} \int_{\frac{\beta}{2}}^\beta q = \int_{\mathbb{T}^d} (\rho_0(x) - \rho(x, t)) = 0.$$



## II. The kinetic formulation of the skeleton equation

### A renormalized kinetic solution of the skeleton equation

A nonnegative function  $\rho \in C([0, T]; L^1(\mathbb{T}^d))$  is a renormalized kinetic solution of the skeleton equation if there exists a nonnegative, locally finite measure  $q$  on  $\mathbb{T}^d \times \mathbb{R} \times [0, T]$  such that  $\rho$  and  $q$  satisfy the following four properties.

- *Preservation of mass:*  $\|\rho(x, t)\|_{L^1(\mathbb{T}^d)} = \|\rho_0\|_{L^1(\mathbb{T}^d)}$  for every  $t \in [0, T]$ .
- *Local  $H^1$ -regularity:*  $((\rho \wedge K) \vee \frac{1}{K}) \in L^2([0, T]; H^1(\mathbb{T}^d))$  for every  $K \in \mathbb{N}$ .
- *Regularity and vanishing of the measure at infinity:* we have that

$$\delta_\rho(\alpha \xi^{\alpha-1} |\nabla \rho|^2) \leq q \quad \text{and} \quad \liminf_{M \rightarrow \infty} q(\mathbb{T}^d \times [M, M+1] \times [0, T]) = 0.$$

- *The equation:* for every  $\psi \in C_c^\infty(\mathbb{T}^d \times (0, \infty))$  and  $t \in [0, T]$ ,

$$\begin{aligned} \int_{\mathbb{T}^d} \chi \psi \Big|_{s=0}^{s=t} &= - \int_0^t \int_{\mathbb{T}^d} \alpha \rho^{\alpha-1} \nabla \rho \cdot (\nabla \psi)(x, \rho) - \int_0^t \int_{\mathbb{T}^d} \int_{\mathbb{R}} (\partial_\xi \psi)(x, \xi) q \\ &\quad + \int_0^t \int_{\mathbb{T}^d} (\partial_\xi \psi)(x, \rho) \rho^{\frac{\alpha}{2}} g \cdot \nabla \rho + \int_0^t \int_{\mathbb{T}^d} \rho^{\frac{\alpha}{2}} g \cdot (\nabla \psi)(x, \rho). \end{aligned}$$

- we have that  $\lim_{\beta \rightarrow 0} (\beta^{-1} q(\mathbb{T}^d \times (\frac{\beta}{2}, \beta) \times [0, T])) = 0$ .

## II. The kinetic formulation of the skeleton equation

**A useful identity:** if  $\rho_1$  and  $\rho_2$  are kinetic solutions, for

$$\chi_i(x, \xi, t) = \mathbf{1}_{\{0 < \xi < \rho_i(x, t)\}} - \mathbf{1}_{\{\rho_i(x, t) < \xi < 0\}},$$

we have

$$\begin{aligned} \int_{\mathbb{T}^d} |\rho_1 - \rho_2| &= \int_{\mathbb{T}^d} \int_{\mathbb{R}} |\chi_1 - \chi_2|^2 = \int_{\mathbb{T}^d} \int_{\mathbb{R}} (\chi_1)^2 + (\chi_2)^2 - 2\chi_1\chi_2 \\ &= \int_{\mathbb{T}^d} \int_{\mathbb{R}} \chi_1 \operatorname{sgn}(\xi) + \chi_2 \operatorname{sgn}(\xi) - 2\chi_1\chi_2 \\ &= \int_{\mathbb{T}^d} \int_{\mathbb{R}} \chi_1 + \chi_2 - 2\chi_1\chi_2. \end{aligned}$$

**The cutoff functions:** the cutoff at zero, for  $\beta \in (0, 1)$ ,

$$\psi_\beta(0) = 0 \quad \text{and} \quad \psi'_\beta = \frac{2}{\beta} \mathbf{1}_{\{\frac{\beta}{2} < \xi < \beta\}},$$

and the cutoff at infinity, for  $M \in (1, \infty)$ ,

$$\zeta_M(0) = 1 \quad \text{and} \quad \zeta'_M = -\mathbf{1}_{\{M < \xi < M+1\}}.$$

**The essential identity:** we will use that

$$\int_{\mathbb{T}^d} |\rho_1 - \rho_2| = \lim_{\beta \rightarrow 0} \lim_{M \rightarrow \infty} \left( \int_{\mathbb{T}^d} \int_{\mathbb{R}} (\chi_1 + \chi_2 - 2\chi_1\chi_2) \psi_\beta \zeta_M \right).$$

## II. The kinetic formulation of the skeleton equation

**The equation:** we have that

$$\partial_t \chi = \alpha \xi^{\alpha-1} \Delta \chi + \partial_\xi q - \partial_\xi (\xi^{\frac{\alpha}{2}} g \cdot \nabla \chi) + \nabla \cdot (\xi^{\frac{\alpha}{2}} (\partial_\xi \chi) g),$$

and we use that

$$\int_{\mathbb{T}^d} |\rho_1 - \rho_2| = \lim_{\beta \rightarrow 0} \lim_{M \rightarrow \infty} \left( \int_{\mathbb{T}^d} \int_{\mathbb{R}} (\chi_1 + \chi_2 - 2\chi_1 \chi_2) \psi_\beta \zeta_M \right).$$

**The singletons:** we have that

$$\begin{aligned} \partial_t \left( \int_{\mathbb{T}^d} \int_{\mathbb{R}} \chi_i \psi_\beta \zeta_M \right) &= - \int_{\mathbb{T}^d} \int_{\mathbb{R}} q_i \partial_\xi (\psi_\beta \zeta_M) + \int_{\mathbb{T}^d} (\partial_\xi (\psi_\beta \zeta_M)) (\rho_i) \rho_i^{\frac{\alpha}{2}} g \cdot \nabla \rho_i \\ &= - \frac{2}{\beta} q_i (\mathbb{T}^d \times (\frac{\beta}{2}, \beta) \times (0, t)) + q_i (\mathbb{T}^d \times (M, M+1) \times (0, t)) \\ &\quad + \frac{2}{\beta} \int_{\mathbb{T}^d} \mathbf{1}_{\{\frac{\beta}{2} < \rho_i < \beta\}} \zeta_M(\rho_i) \rho_i^{\frac{1}{2}} g \cdot \rho_i^{\frac{\alpha-1}{2}} \nabla \rho_i + \int_{\mathbb{T}^d} \mathbf{1}_{\{M < \rho_i < M+1\}} \psi_\beta(\rho_i) \rho_i^{\frac{1}{2}} g \cdot \rho_i^{\frac{\alpha-1}{2}} \nabla \rho_i \\ &\lesssim \int_0^t \int_{\mathbb{T}^d} \int_M^{M+1} q + \frac{1}{\beta} \int_0^t \int_{\mathbb{T}^d} \rho |g|^2 \mathbf{1}_{\{\frac{\beta}{2} < \rho < \beta\}} + \int_0^t \int_{\mathbb{T}^d} \rho |g|^2 \mathbf{1}_{\{M < \rho < M+1\}}. \end{aligned}$$

These terms vanish in the limit  $M \rightarrow \infty$  and  $\beta \rightarrow 0$ .

## II. The kinetic formulation of the skeleton equation

**The mixed term:** we have that

$$\partial_t \chi = \alpha \xi^{\alpha-1} \Delta \chi + \partial_\xi q - \partial_\xi (\xi^{\frac{\alpha}{2}} g \cdot \nabla \chi) + \nabla \cdot (\xi^{\frac{\alpha}{2}} (\partial_\xi \chi) g),$$

and, therefore,

$$\begin{aligned} & \partial_t \left( \int_{\mathbb{T}^d} \int_{\mathbb{R}} \chi_1 \chi_2 \psi_\beta \zeta_M \right) \\ &= - \int_{\mathbb{T}^d} \int_{\mathbb{R}} q_1 (\partial_\xi \chi_2) \psi_\beta \zeta_M - \int_{\mathbb{T}^d} \int_{\mathbb{R}} q_2 (\partial_\xi \chi_1) \psi_\beta \zeta_M - 2 \int_{\mathbb{T}^d} \int_{\mathbb{R}} \alpha \xi^{\alpha-1} \nabla \chi_1 \cdot \nabla \chi_2 \\ &+ \int_{\mathbb{T}^d} \int_{\mathbb{R}} \xi^{\frac{\alpha}{2}} \partial_\xi \chi_2 g \cdot \nabla \chi_1 \psi_\beta \zeta_M + \int_{\mathbb{T}^d} \int_{\mathbb{R}} \xi^{\frac{\alpha}{2}} \partial_\xi \chi_1 g \cdot \nabla \chi_2 \psi_\beta \zeta_M \\ &- \int_{\mathbb{T}^d} \int_{\mathbb{R}} \xi^{\frac{\alpha}{2}} \partial_\xi \chi_1 g \cdot \nabla \chi_2 \psi_\beta \zeta_M - \int_{\mathbb{T}^d} \int_{\mathbb{R}} \xi^{\frac{\alpha}{2}} \partial_\xi \chi_2 g \cdot \nabla \chi_1 \psi_\beta \zeta_M \\ &+ \int_{\mathbb{T}^d} \int_{\mathbb{R}} \partial_\xi (\psi_\beta \zeta_M) \chi_2 \xi^{\frac{\alpha}{2}} g \cdot \nabla \chi_1 + \int_{\mathbb{T}^d} \int_{\mathbb{R}} \partial_\xi (\psi_\beta \zeta_M) \chi_1 \xi^{\frac{\alpha}{2}} g \cdot \nabla \chi_2 \\ &- \int_{\mathbb{T}^d} \int_{\mathbb{R}} q_1 \chi_2 \partial_\xi (\psi_\beta \zeta_M) - \int_{\mathbb{T}^d} \int_{\mathbb{R}} q_2 \chi_1 \partial_\xi (\psi_\beta \zeta_M). \end{aligned}$$

In comparison to the skeleton equation

$$\partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^{\frac{\alpha}{2}} g) + \text{“cutoff error”}.$$

## II. The kinetic formulation of the skeleton equation

**The dissipative error:** for  $\partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^{\frac{\alpha}{2}} g) + \text{“cutoff error”}$ ,

$$\begin{aligned}
 & \partial_t \left( \int_{\mathbb{T}^d} \int_{\mathbb{R}} \chi_1 \chi_2 \psi_\beta \zeta_M \right) \\
 &= - \int_{\mathbb{T}^d} \int_{\mathbb{R}} q_1 (\partial_\xi \chi_2) \psi_\beta \zeta_M - \int_{\mathbb{T}^d} \int_{\mathbb{R}} q_2 (\partial_\xi \chi_1) \psi_\beta \zeta_M - 2 \int_{\mathbb{T}^d} \int_{\mathbb{R}} \alpha \xi^{\alpha-1} \nabla \chi_1 \cdot \nabla \chi_2 \psi_\beta \zeta_M \dots \\
 &= \int_{\mathbb{T}^d} \int_{\mathbb{R}} \delta_{\rho_2} q_1 \psi_\beta \zeta_M + \int_{\mathbb{T}^d} \int_{\mathbb{R}} \delta_{\rho_1} q_2 \psi_\beta \zeta_M - 2 \int_{\mathbb{T}^d} \int_{\mathbb{R}} \alpha \xi^{\alpha-1} \nabla \rho^1 \cdot \nabla \rho^2 \delta_{\rho_1} \delta_{\rho_2} \psi_\beta \zeta_M \dots \\
 &\geq \int_{\mathbb{T}^d} \int_{\mathbb{R}} \delta_{\rho_1} \delta_{\rho_2} \alpha \xi^{\alpha-1} (|\nabla \rho_1|^2 + |\nabla \rho_2|^2) \psi_\beta \zeta_M - 2 \int_{\mathbb{T}^d} \int_{\mathbb{R}} \alpha \xi^{\alpha-1} \nabla \rho^1 \cdot \nabla \rho^2 \delta_{\rho_1} \delta_{\rho_2} \psi_\beta \zeta_M \dots
 \end{aligned}$$

**Local regularity:** after regularizing  $\chi_i^\delta = (\chi * \kappa^\delta)$ , for  $\bar{\kappa}_i^\delta = \kappa^\delta(\rho_i - \xi)$ ,

$$\nabla \chi_i^\delta(x, \xi, t) = (\nabla \chi \kappa^\delta)(x, \xi, t) = (\delta_{\rho_i} \nabla \rho * \kappa^\delta)(x, \xi, t) = \nabla \rho(x, t) \kappa^\delta(\rho_i - \xi),$$

and

$$\begin{aligned}
 2 \int_{\mathbb{T}^d} \int_{\mathbb{R}} \alpha \xi^{\alpha-1} \nabla \chi_1^\delta \cdot \nabla \chi_2^\delta \psi_\beta \zeta_M &= \int_{\mathbb{T}^d} \int_{\mathbb{R}} (\alpha \rho_1^{\alpha-1} + \alpha \rho_2^{\alpha-1}) \nabla \rho_1 \cdot \nabla \rho_2 \delta_{\rho_1}^\delta \delta_{\rho_2}^\delta \psi_\beta \zeta_M \\
 &= \int_{\mathbb{T}^d} \int_{\mathbb{R}} \alpha \left( \rho_1^{\frac{\alpha-1}{2}} - \rho_2^{\frac{\alpha-1}{2}} \right)^2 \nabla \rho_1 \cdot \nabla \rho_2 \bar{\kappa}_1^\delta \bar{\kappa}_2^\delta \psi_\beta \zeta_M \\
 &\quad + 2 \int_{\mathbb{T}^d} \int_{\mathbb{R}} \alpha \rho_1^{\frac{\alpha-1}{2}} \rho_2^{\frac{\alpha-1}{2}} \nabla \rho_1 \cdot \nabla \rho_2 \bar{\kappa}_1^\delta \bar{\kappa}_2^\delta \psi_\beta \zeta_M.
 \end{aligned}$$

## II. The kinetic formulation of the skeleton equation

The conservative error: for  $\partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^{\frac{\alpha}{2}} g) + \text{“cutoff error”}$ ,

$$\begin{aligned} \partial_t \left( \int_{\mathbb{T}^d} \int_{\mathbb{R}} \chi_1 \chi_2 \psi_\beta \zeta_M \right) &\geq \dots \\ &+ \int_{\mathbb{T}^d} \int_{\mathbb{R}} \xi^{\frac{\alpha}{2}} \partial_\xi \chi_2 g \cdot \nabla \chi_1 \psi_\beta \zeta_M + \int_{\mathbb{T}^d} \int_{\mathbb{R}} \xi^{\frac{\alpha}{2}} \partial_\xi \chi_1 g \cdot \nabla \chi_2 \psi_\beta \zeta_M \\ &- \int_{\mathbb{T}^d} \int_{\mathbb{R}} \xi^{\frac{\alpha}{2}} \partial_\xi \chi_1 g \cdot \nabla \chi_2 \psi_\beta \zeta_M - \int_{\mathbb{T}^d} \int_{\mathbb{R}} \xi^{\frac{\alpha}{2}} \partial_\xi \chi_2 g \cdot \nabla \chi_1 \psi_\beta \zeta_M + \dots \end{aligned}$$

Local regularity of  $\xi^{\frac{\alpha}{2}}$ : after regularizing  $\chi_i^\delta = (\chi * \kappa^\delta)$ , for  $\bar{\kappa}_i^\delta = \kappa^\delta(\rho_i - \xi)$ ,

$$\partial_\xi \chi_i^\delta(x, \xi, t) = (\partial_\xi \chi * \kappa^\delta)(x, \xi, t) = \kappa^\delta(\xi) - \kappa^\delta(\rho_i - \xi),$$

and

$$\begin{aligned} &\int_{\mathbb{T}^d} \int_{\mathbb{R}} \xi^{\frac{\alpha}{2}} (\partial_\xi \chi_2^\delta) g \cdot \nabla \chi_1^\delta \psi_\beta \zeta_M - \int_{\mathbb{T}^d} \int_{\mathbb{R}} \xi^{\frac{\alpha}{2}} (\partial_\xi \chi_1^\delta) g \cdot \nabla \chi_2^\delta \psi_\beta \zeta_M \\ &= \int_{\mathbb{T}^d} \int_{\mathbb{R}} (\rho_2^{\frac{\alpha}{2}} - \rho_1^{\frac{\alpha}{2}}) g \cdot \nabla \rho_1 \bar{\kappa}_1^\delta \bar{\kappa}_2^\delta \psi_\beta \zeta_M \\ &\simeq \delta^{-1} \int_{\mathbb{T}^d} \int_{\mathbb{R}} \mathbf{1}_{\{|\rho_1 - \rho_2| < \delta\}} (\rho_2^{\frac{\alpha}{2}} - \rho_1^{\frac{\alpha}{2}}) \psi_\beta(\rho_1) \zeta_M(\rho_1). \end{aligned}$$

## II. The kinetic formulation of the skeleton equation

**The cutoff error:** for  $\partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^{\frac{\alpha}{2}} g) + \text{“cutoff error”}$ ,

$$\begin{aligned} \partial_t \left( \int_{\mathbb{T}^d} \int_{\mathbb{R}} \chi_1 \chi_2 \psi_\beta \zeta_M \right) &\geq \dots \\ &+ \int_{\mathbb{T}^d} \int_{\mathbb{R}} \partial_\xi (\psi_\beta \zeta_M) \chi_2 \xi^{\frac{\alpha}{2}} g \cdot \nabla \chi_1 + \int_{\mathbb{T}^d} \int_{\mathbb{R}} \partial_\xi (\psi_\beta \zeta_M) \chi_1 \xi^{\frac{\alpha}{2}} g \cdot \nabla \chi_2 \\ &- \int_{\mathbb{T}^d} \int_{\mathbb{R}} q_1 \chi_2 \partial_\xi (\psi_\beta \zeta_M) - \int_{\mathbb{T}^d} \int_{\mathbb{R}} q_2 \chi_1 \partial_\xi (\psi_\beta \zeta_M). \end{aligned}$$

We have that

$$\begin{aligned} \int_{\mathbb{T}^d} \int_{\mathbb{R}} \partial_\xi (\psi_\beta \zeta_M) \chi_2 \xi^{\frac{\alpha}{2}} g \cdot \nabla \chi_1 &= \int_{\mathbb{T}^d} \rho_1^{\frac{\alpha}{2}} g \cdot \nabla \rho_1 \chi_2(x, \rho_1, t) \partial_\xi (\psi_\beta \zeta_M)(\rho_1) \\ &\lesssim \int_{\mathbb{T}^d} \rho_1^{\frac{1}{2}} g \cdot \rho_1^{\frac{\alpha-1}{2}} \nabla \rho_1 \left( \frac{2}{\beta} (\mathbf{1}_{\{\frac{\beta}{2} < \rho_1 < \beta\}} + \mathbf{1}_{\{M < \rho_1 < M+1\}}) \right) \\ &\lesssim \int_{\mathbb{T}^d} \rho_1 |g|^2 \left( \frac{2}{\beta} (\mathbf{1}_{\{\frac{\beta}{2} < \rho_1 < \beta\}} + \mathbf{1}_{\{M < \rho_1 < M+1\}}) \right) + \int_{\mathbb{T}^d} \int_{\frac{\beta}{2}}^\beta \frac{1}{\xi} q_1 + \int_{\mathbb{T}^d} \int_M^{M+1} q_1. \end{aligned}$$

**Conclusion:** we have that  $\partial_t \int_{\mathbb{T}^d} \int_{\mathbb{R}} \chi_1 \chi_2 \geq 0$  and, therefore,

$$\partial_t \int_{\mathbb{T}^d} |\rho_1 - \rho_2| = \partial_t \int_{\mathbb{T}^d} \int_{\mathbb{R}} (\chi_1 + \chi_2 - 2\chi_1 \chi_2) \leq 0.$$

## II. The kinetic formulation of the skeleton equation

### Well-posedness of renormalized kinetic solutions [F., Gess; 2023]

Let  $T \in (0, \infty)$ ,  $d \in \mathbb{N}$ , and let  $\Phi \in C_{\text{loc}}^1((0, \infty)) \cap C([0, \infty))$  satisfy that

- $\Phi(0) = 0$  with  $\Phi' > 0$  on  $(0, \infty)$ ,
- $\Phi'$  is locally  $1/2$ -Hölder continuous on  $(0, \infty)$ ,
- and  $\max_{\{0 < \xi \leq M\}} \frac{\Phi(\xi)}{\Phi'(\xi)} \leq cM$ .

Then for every nonnegative  $\rho_0 \in L^1(\mathbb{T}^d)$  there exists a unique renormalized kinetic solution of the equation

$$\partial_t \rho = \Delta \Phi(\rho) - \nabla \cdot (\Phi^{\frac{1}{2}}(\rho) g) \text{ in } \mathbb{T}^d \times (0, T) \text{ with } \rho(\cdot, 0) = \rho_0.$$

Furthermore, if  $\rho_1$  and  $\rho_2$  are two solutions with initial data  $\rho_{1,0}$  and  $\rho_{2,0}$ , then

$$\max_{t \in [0, T]} \|\rho_1(x, t) - \rho_2(x, t)\|_{L^1(\mathbb{T}^d)} = \|\rho_{1,0} - \rho_{2,0}\|_{L^1(\mathbb{T}^d)}.$$

- including  $\Phi(\xi) = \xi^\alpha$  for every  $\alpha \in (0, \infty)$ , for which

$$\partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^{\frac{\alpha}{2}} g).$$



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