

**Print Your Name Here:** \_\_\_\_\_

*Show all work* in the space provided. *Indicate clearly* if you continue on the back. Write your **name** at the **top** of the *scratch* sheet *if you will hand it in to be graded*. **No** books, notes, smart phones, cell phones, communication devices, internet devices, or electronic devices are allowed except for a scientific calculator—which is not needed. The maximum total score is 200.

**Part I: Short Questions.** Answer **12** of the 18 short questions: 8 points each. **Circle** the **numbers** of the 12 questions that you want counted—*no more than 12!* Detailed explanations are not required, but they may help with partial credit and are *risk-free!* Maximum score: 96 points.

1. True or Give a Counterexample: If  $s_n \rightarrow L$  and  $u_n \rightarrow M$ , and if  $s_n \leq t_n \leq u_n$  for all  $n \in \mathbb{N}$ , then  $L \leq \lim_{n \rightarrow \infty} t_n \leq M$ .
2. Give an example of a sequence  $x_n$  that has no convergent subsequence, yet it is false that  $x_n$  diverges either to  $\infty$  or to  $-\infty$ .
3. True or False: The sequence  $x_n$  described as follows is Cauchy:  $0, 1, \frac{1}{2}, 0, \frac{1}{3}, \frac{2}{3}, 1, \frac{3}{4}, \frac{1}{2}, \frac{1}{4}, 0, \frac{1}{5}, \frac{2}{5}, \frac{3}{5}, \frac{4}{5}, 1, \dots$
4. Let  $x_n = (-1)^n + \frac{1}{n}$ . Find  $\limsup x_n$  and  $\liminf x_n$ .
5. Find two sequences,  $x_n$  and  $y_n$ , for which  $\limsup(x_n + y_n) < \limsup x_n + \limsup y_n$ .

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6. Find an open cover  $\mathcal{O} = \{(a_n, b_n) \mid n \in \mathbb{N}\}$  of the interval  $(-1, 1)$  that has *no finite subcover*.
7. Let  $f$  be a *monotone increasing* function on  $\mathbb{R}$ . True or False:  $\lim_{x \rightarrow 0^+} f(x) = f(0)$ .
8. Give an example of a function  $f$  that is continuous on  $(0, 1)$  without being *uniformly* continuous on  $(0, 1)$ .
9. True or False: an *open* set  $E$  that is also *dense* in  $\mathbb{R}$  must be all of  $\mathbb{R}$ .
10. Find the *set* of all the *cluster points* of the set  $\mathbb{Q}$  of all rational numbers.
11. Let  $f : \mathbb{R} \rightarrow \mathbb{R}$  be a continuous function such that  $f(x + y) \equiv f(x) + f(y)$ . If  $f(1) = \sqrt{2}$ , find  $f(\sqrt{2})$ .
12. Suppose  $f$  is *uniformly continuous* on a domain  $D$  and suppose  $E \subset D$ . True or False:  $f$  is uniformly continuous on  $E$  as well.

13. True or False: If a sequence of functions  $f_n$  converges uniformly on an interval  $I$ , then it must converge uniformly on every subinterval of  $I$ .
14. Let  $\tilde{P}$  be the set of all functions  $f$  on  $[0, 1]$  such that there exists at least one point  $x \in [0, 1]$ , perhaps depending on  $f$ , for which  $f(x) > 0$ . True or False:  $\tilde{P}$  is a vector space.
15. True or False: There exists a function  $f \in C[0, 1]$  for which  $\{f(x) \mid x \in [0, 1]\} = (0, 1)$ .
16. Give an example of a *monotone increasing* function  $f$  on  $[0, 2]$  for which there is *no point*  $\bar{x}$  such that  $\int_0^2 f(x) dx = f(\bar{x})(2 - 0)$ .
17. True or Give a Counterexample: The function  $|f| \in \mathcal{R}[0, 1] \Leftrightarrow f \in \mathcal{R}[0, 1]$ .
18. Give an example of a sequence  $f_n \in \mathcal{R}[0, 1]$  which converges *pointwise* to 0, yet  $\int_0^1 f_n(x) dx$  does *not* converge to 0.

**Part II: Proofs.** Prove carefully 4 of the following 6 theorems for 26 points each. **Circle** the letters of the 4 proofs to be counted in the list below—no more than 4! You may write the proofs below, on the back, or on scratch paper. Maximum total credit: 104 points.

- A. Suppose  $a \leq x_n \leq b$  for all  $n$  and suppose further that  $x_n \rightarrow L$ . Prove:  $L \in [a, b]$ . (Hint: If  $L < a$  or if  $L > b$ , obtain a contradiction.)
- B. Suppose that the sequence  $x_n$  has no convergent subsequence. Let  $M > 0$ . Prove that there exist at most finitely many values of  $n$  such that  $x_n \in [-M, M]$ . Explain why this implies  $|x_n| \rightarrow \infty$  as  $n \rightarrow \infty$ .
- C. Let  $f$  be *monotone increasing* on  $\mathbb{R}$ : i.e., whenever  $x_1 < x_2$  we have  $f(x_1) \leq f(x_2)$ . Prove: for all  $a \in \mathbb{R}$ ,  $\lim_{x \rightarrow a^+} f(x)$  exists and is a real number. (Hint: Let  $S = \{f(x) \mid x > a\}$  and show that  $\inf(S)$  is a real number  $L$ . Then show that for every sequence  $x_n \rightarrow a^+$  we must have  $f(x_n) \rightarrow L$ .)
- D. Let  $f(x) = \frac{1}{x}$ , for all  $x \in (0, \infty)$ . Prove, being *careful* to use the *definition of uniform continuity*:
- (i)  $f$  is *uniformly* continuous on  $(1, \infty)$ .
  - (ii)  $f$  is *not* uniformly continuous on  $(0, \infty)$ ?
- E. Suppose  $f_n(x) = 1 - x^n$  for all  $x \in [0, 1]$ . Being careful to use the *definition of uniform convergence*, prove:
- (i) The sequence  $f_n$  *converges uniformly* on  $[0, b]$  for all  $b \in (0, 1)$ .
  - (ii) The sequence  $f_n$  does *not* converge uniformly on  $[0, 1]$ .
- F. If  $f \in \mathcal{R}[a, b]$ , prove the *triangle inequality for integrals*:  $\left| \int_a^b f(x) dx \right| \leq \int_a^b |f(x)| dx$ . (Hint: Write the left side by expressing  $f = f^+ - f^-$  and use the fact that  $f^+$  and  $f^-$  are both nonnegative functions.)

## Solutions and Class Statistics

1. Counterexample: Let  $s_n = -1$ ,  $t_n = (-1)^n$ , and  $u_n = 1$ , for all  $n \in \mathbb{N}$ . Thus  $\lim_{n \rightarrow \infty} t_n$  does not exist. It is necessary to define what is  $s_n$  and what is  $u_n$  for all  $n \in \mathbb{N}$ .
2. For example, let  $x_n = (-1)^n n$  for all  $n \in \mathbb{N}$ .
3. False
4.  $\limsup x_n = 1$  and  $\liminf x_n = -1$ .
5. For example, let  $x_n = (-1)^n$  and  $y_n = -x_n$ .
6. For example, let  $\mathcal{O} = \left\{ \left( -1, 1 - \frac{1}{n} \right) \mid n \in \mathbb{N} \right\}$ .
7. False. For example, let  $f(x) = 1_{(0, \infty)}(x)$  for all  $x \in \mathbb{R}$ .
8. For example, let  $f(x) = \frac{1}{x}$ .
9. False. For example, consider  $(-\infty, 0) \cup (0, \infty)$ .
10.  $\mathbb{R}$ .
11.  $f(\sqrt{2}) = 2$ , since  $f(x) = cx$  and  $f(1) = c$ .
12. True
13. True
14. False: there is no additive identity, which would need to be the identically zero function.
15. False:  $f$  needs to achieve a maximum and a minimum value.
16. For example, let  $f = 1_{[0,1]}$ .
17. Counterexample: Let  $f = 1_{\mathbb{Q} \cap [0,1]} - 1_{[0,1] \setminus \mathbb{Q}}$ .
18. For example, let  $f_n(x) = n 1_{(0, \frac{1}{n}]}(x)$ .

## Remarks about the proofs

*Proofs are graded for logical coherence.* If you have questions about the grading of the proofs on this test, or if you are having difficulty writing satisfactory proofs, *please bring me your test and also the graded homework from which the questions in Part II came.* This will help us to see how you use the corrections to your homework in order to learn to write better proofs. Also *please bring your notebook showing how we presented the same proof in class* after the homework was graded. It is important to learn from both sources.

**A:** The key to this proof is to understand clearly how the limit of a sequence is defined in terms of inequalities. If  $L < a$  let  $\epsilon = a - L$  which is strictly positive and show from the definition of

convergence that for sufficiently large  $n$ ,  $x_n < a$ . Do similar work if  $L > b$ , letting  $\epsilon = L - b$  which is strictly positive. Most students did this well.

**B:** The key is to show that if there exists a subsequence  $x_{n_k} \in [-M, M]$  for all  $k \in \mathbb{N}$ , then the Bolzano-Weierstrass theorem implies the existence of a sub-subsequence  $x_{n_{k_j}}$  that converges as  $j \rightarrow \infty$ . This would contradict the hypothesis. Vague use of everyday language is not sufficient. Apply the Bolzano-Weierstrass theorem clearly and correctly.

**C:** This was one of the harder collected homework problems, and only one student got it correctly on this test. The key steps are to explain that  $f(a)$  is a lower bound for  $S$ , so that there is a greatest lower bound  $L \geq f(a)$ . (You should be able to give examples to show that  $f(a)$  can be less than  $L$ .) If  $\epsilon > 0$ ,  $L + \epsilon$  is not a lower bound of  $S$ . You need to *use this fact* to show for every sequence  $x_n \rightarrow a+$  there exists  $N \in \mathbb{N}$  such that  $n \geq N$  implies  $|f(x_n) - L| < \epsilon$ .

**D:** On  $(1, \infty)$  show with simple inequalities that if  $|x_1 - x_2| < \epsilon$  then  $|f(x_1) - f(x_2)| < \epsilon$ . Thus any  $0 < \delta \leq \epsilon$  suffices for uniform continuity. However, on  $(0, \infty)$ , show that, for example, letting  $\epsilon = 1$ , for every  $\delta > 0$  there exist  $x_1, x_2 \in (0, \delta)$  such that  $|f(x_1) - f(x_2)| > 1$ . Thus  $f$  is not uniformly continuous on  $(0, \infty)$ .

**E:** Identify the pointwise limit  $f(x)$  on  $[0, b]$  and show that on  $[0, b]$ ,  $\|f_n - f\|_{\text{sup}} = b^n \rightarrow 0$  as  $n \rightarrow \infty$ . But on  $[0, 1)$  show that  $\|f_n - f\|_{\text{sup}} = 1$  which does not converge to 0.

**F:** Be careful with inequalities. Do not assume the conclusion as part of your proof!

## Class Statistics

| Grade         | Test#1 | Test#2 | Test#3 | Final Exam | Final Grade |
|---------------|--------|--------|--------|------------|-------------|
| 90-100 (A)    | 2      | 3      | 4      | 4          | 6           |
| 80-89 (B)     | 6      | 4      | 2      | 3          | 4           |
| 70-79 (C)     | 2      | 2      | 4      | 2          | 1           |
| 60-69 (D)     | 1      | 2      | 2      | 2          | 1           |
| 0-59 (F)      | 1      | 1      | 0      | 1          | 0           |
| Test Avg      | 81.3%  | 80.2%  | 79.4%  | 78.9%      | 80.7%       |
| HW Avg        | 7.8    | 7.0    | 7.2    | 7.2        | 7.2         |
| HW/Tst Correl | 0.71   | 0.70   | 0.74   | 0.70       | 0.70        |

The Correlation Coefficient is the cosine of the angle between two data vectors in  $\mathbb{R}^{12}$ —one dimension for each student enrolled. Thus this coefficient is between 1 and -1, with coefficients above 0.6 being considered strongly positive. The correlation coefficient shown indicates that the test grades in the course have a strongly positive correlation with performance on the homework.